

LEGISLATIVE APPROPRIATIONS REQUEST

for Fiscal Years 2014 and 2015

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**

by

**UNIVERSITY OF HOUSTON
DOWNTOWN**

*Date of Resubmission
October 2012*

**University of Houston – Downtown
Request for Legislative Appropriations**

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Agency Code:	Agency Name:	Date:
784	University of Houston-Downtown	October 2012
<p>For the schedules identified below, the University of Houston-Downtown either has not information to report or the schedule is not applicable. Accordingly, these schedules have been excluded from the University of Houston-Downtown Legislative Appropriations Request 2014-15 biennium.</p>		
Number	Name	
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Administrator's Statement

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Administrator's Statement

Overview

Expanding access to quality higher education has been a central component of the University's mission since its founding in 1974. Through flexible scheduling of courses, innovative use of technology and distance learning opportunities, the University provides educational opportunities for many who might not otherwise be able to pursue a college degree. UHD's steady growth is evidence of both its success in expanding access and offering quality programs. Its Fall 2011 enrollment was an all-time high of 12,918. Further, the total number of students (2,477) who earned a degree from UHD in FY2011 was almost double the 1,279 who graduated ten years earlier. Minority graduates at UHD have increased from 799 in FY 2001 to 1,746 in FY 2011, an increase of 119% for the same time frame. In fact, UHD has been recognized for its success in graduating African-American and Hispanic students with baccalaureate degrees, where it ranks 37th nationally.

As the University prepares for a new biennium, its highest priority will continue to be to provide those programs and support services that will enable students to achieve their academic objectives and become contributing members of society. With a student body that is 39% Hispanic, 29% African-American, 20% Anglo, and 9% Asian, UHD remains one of the most ethnically diverse universities in the state. Over 50 percent of UHD students are the first in their families to attend college. One-third of UHD's students enter as first-time-in-college freshmen (FTIC), and two-thirds transfer in from community colleges and four-year institutions. The majority work while attending school, and over half attend on a part-time basis. Many would not be in higher education were it not for UHD.

A hallmark of UHD always has been its commitment to civic engagement. The University was recognized in recent years by both the Carnegie Institute and the President's Honor Roll for Community Service, and this past year UHD was particularly pleased to have made the President's Honor Roll for Community Service with Distinction. In developing the Honor Roll this year, the Corporation for National and Community Service recognized more than 600 colleges and universities. However, only 110 of those were recognized for their exemplary institutional commitment to service, development of campus-community partnerships producing measurable impact, and engagement of students in meaningful service. Only two universities in Texas – St. Mary's University and UHD – were recognized on the Honor Roll with Distinction.

Another distinguishing characteristic of UHD is affordability. Whether arriving as an FTIC or as transfer student, every enrolled student benefits from UHD's commitment to keep higher education within financial reach. UHD prides itself on being among the best values in Texas public higher education. For FY2013 a student enrolled in 15 undergraduate hours at UHD will pay \$3,017, which is 16% below the current statewide average of \$3,583.

Significant Changes in Policy

In February 2012 the UH System Board of Regents approved UHD's proposal to adopt automatic admission standards. These automatic admission standards, going into effect in Fall 2013, will mark the end of UHD's being identified as an 'open admissions' university. At that time the university will become more proactive in referring to the community colleges those students who are not prepared to successfully take on university-level coursework. Building on its already strong partnerships with area community colleges, UHD anticipates jointly admitting these students and one day welcoming many of them back as they pursue baccalaureate degrees. Adopting this approach with under-prepared students is in the best interests of the university, the state of Texas and, most importantly, the students. In making this change UHD remains steadfastly committed to being a highly accessible institution with a student body that reflects the diversity of the city/region in all ways.

The University is in the process of infusing high-impact educational practices across the curriculum. A team of UHD faculty has developed a High-impact Practices Implementation Action Plan, which will serve as a guide for building on current best practices and for identifying new high-impact opportunities, all with a focus of improving student success. Such activities can include learning communities, supplemental instruction, undergraduate research, international education, capstone

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courses, and civic engagement. Collectively these activities are part of UHD's larger strategy for improving retention and graduation rates.

UHD is radically reinventing its approach to providing advising, mentoring, and tutoring services to its students. A new Mentoring Center was recently constructed, a new Advising Center is under construction, and the existing Academic Support Center is being reconfigured. The new facilities and operating model are heavily influenced by the nationally recognized Student Advising and Mentoring Center (SAM Center) at Sam Houston State University. The operating model relies less on advising staff and more on carefully cultivated faculty members, who will continue to teach but who will also spend time as advisors. The model also employs early alert strategies to identify struggling students while there is still time for them to recover. In the ten years that the SAM Center has been in operation retention rates at SHSU have improved dramatically, as have both the four- and six-year graduation rates.

Significant Changes in Provision of Service

A number of actions have been taken over the past two years to expand and improve the services provided by UHD, including the introduction of several new degree programs. This spring UHD received final accreditation from the Council on Social Work Education (CSWE) for its new baccalaureate degree in Social Work, and enrollments for this new program are strong. This program complements the Masters in Social Work degree currently offered at the University of Houston. In Spring 2012 the University also launched a long awaited MBA program, with early demand exceeding that which had been projected during program development.

UHD has several new degree programs in the pipeline, including Masters in Non-Profit Management, Forensic Accounting and Information Systems, Mathematics, Environmental Management, and Global Criminal Justice. Like the adoption of undergraduate admission standards, expansion of its graduate degree offerings is an indicator that UHD is maturing as an institution. While the University will continue to be a predominantly undergraduate-serving institution, a goal set forth in its recently updated strategic plan is to have 8 percent of its total enrollments be at the graduate level by 2020.

The recent move by the THECB to require institutions to phase out low-producing degrees is supported by UHD. In the most recent review UHD was required to discontinue several low-producing baccalaureate degrees in the Engineering Technology area, and to consolidate degree offerings associated with its Masters of Teaching. UHD is committed to offering degrees for which there is demonstrated demand, and understands that this will be a fundamental measure of efficiency going forward.

UHD continues to expand its partnerships with local community colleges, having established administration-to-administration contacts and developing faculty-to-faculty and staff-to-staff contacts. UHD has one large off-campus educational site at Lone Star College-University Park and several smaller LSC centers where students complete their degrees in a number of baccalaureate programs. UHD has two small dual credit programs with local school districts and is, at present, exploring ways to leverage its working relationship with LSC's early college program to give students in the LSC-Kingwood area the opportunity to complete their bachelor's degrees at the LSC-Kingwood site. With several other universities from the region, UHD is part of a cooperative partnership developing baccalaureate degree completion programs a Houston Community College-Northeast.

Unquestionably the greatest change in the area of 'provision of service' has been the explosive growth of on-line instruction. Being a predominantly undergraduate institution serving a disproportionate number of first-generation college students, UHD was a slow adopter of on-line instruction, with many on the faculty understandably concerned over whether this was the best mode of instruction for the types of students UHD serves. However, the market had spoken and it quickly became clear that UHD needed to get on-board or get left behind. Moving from Fall 2010 to Fall 2011 UHD expanded its offerings of on-line 'degree completion programs' from 3 to 13. Over that period on-line hours increased from 10 percent to 15 percent of total enrollments. For Spring 2012 on-line enrollments accounted for 17 percent of total enrollments.

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To-date the focus with on-line has been with the upper level courses, but as admission standards are introduced and the preparedness level of incoming freshmen rises, UHD will begin to offer more on-line at the lower level. UHD is also in the process of developing several fully on-line degree programs to complement its table of 'degree completion' options, which focus only on the final 60 hours. The expectation is that the demand for on-line instruction will begin to stabilize in the future as we move through the 'explosive growth' stage. The expectation is that by 2020 UHD will be delivering at least 30 percent of its instruction on-line.

Another mode of instruction that does not get as much attention as on-line but which has implications almost as profound involves 'hybrid' courses. Hybrid courses are a blend of face-to-face and on-line instruction which require the class to meet just once per week. In Fall 2007 UHD offered no hybrid courses. By Fall 2009 hybrid hours were 3.3 percent of total hours and by Fall 2011 hybrid accounted for 7.3 percent of all hours. As with on-line, hybrid courses have radical implications for class scheduling and facilities usage/planning.

The University is expanding its efforts to provide continuing education opportunities for professionals and members of the Houston community. There are three major continuing education units at UHD: the English Language Institute, the Criminal Justice Training Division, and the Applied Business and Technology Center. Each of these is thriving and developing additional opportunities to respond to public demand. The Office of Continuing of Education is also developing a number of pilot programs, with the dual intentions of expanding the University's services and gaining recognition and understanding of UHD's presence and mission in the metropolitan area.

Significant Externalities

The contribution that the University is able to make to the state's priority educational goals in the years ahead will be affected by a number of external factors. Included would be population growth in the region, the condition of the state and national economies and resulting budget/resource challenges, the increased competition from colleges and universities outside the region, the opportunities/challenges relating to on-line and distance education, and the growing pressure on colleges and universities to address the affordability issue. Looking locally, a significant externality is the positive impact that major transit-related construction will have on UHD's physical campus.

The demand for higher education in UHD's service area will increase as the population grows. Harris County continues to be the nation's third most populous county with an estimated 4.2 million residents (June 2011), and remains one of the fastest growing counties in the United States, with a population increase of 20% since 2000 and 45% since 1990. Educational forecasts suggest that 2013 may produce the greatest number of high school graduates in the nation's history, with sustained high numbers for several successive years as a result of the baby boom echo. While the traditional white, middle-class 18-22 year old group is declining in size, the number of minority students and older returning students will be increasing. The university anticipates continued enrollment growth, as those fast growing segments of the college-going population are groups that the university has served effectively in the past.

The state and national economies continue to struggle to regain their footing in the aftermath of the 2008-2009 financial crisis. While the incoming legislature is not facing the \$23B-\$27B shortfall that awaited the group that convened in the spring of 2011, current projections suggest a deficit in the \$8B-\$10B range. A portion of this shortfall appears to be structural in nature, the result of a miscalculation made when the business franchise tax/property tax cut trade-off was made back in 2006. It would be helpful if the legislators could come together to address this matter and make the adjustments needed to achieve revenue neutrality, which was the stated goal when the changes were made. Until the economy recovers more fully and until issues like the structural deficit get addressed, state agencies – including public higher education – will continue to struggle to come up with the resources needed to fulfill their missions.

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On the federal level, there is an understanding that after the November 2012 election the elected leaders of both political parties will need to seriously address the deficit/national debt issue. It is reasonable to expect that in the negotiations that take place everything will be on the table, including the level of funding for federal financial aid programs. With almost two-thirds of its students being Pell Grant eligible, UHD will be following this issue closely. It further makes the case that UHD must do all that it can to maintain affordability.

The greater Houston region stands out nationally for its projected population growth, which makes it an inviting environment for colleges and universities located in slow-growth regions of the country. The freeways around Houston are replete with billboards touting the outstanding programs offered by institutions from outside of the region and often outside the of state, with instruction available at the home campus, at a Houston site, or on-line. For highly selective institutions, this kind of aggressive recruiting has not been much of an issue, but for colleges and universities that must compete for enrollments each year it has become a game-changer. UHD's strategy for meeting this challenge is two-fold. By implementing admissions standards, continually improving quality, and expanding its table of programs to include more high-demand disciplines, UHD seeks to become more of an institution-of-choice. By maintaining its commitment to affordability as it transitions to becoming a more desirable option for well-qualified prospective students, UHD will position itself as a true 'value choice' in the regional higher education marketplace. To communicate the changes taking place, UHD has embarked on an aggressive marketing campaign – UHD: Major Opportunity - that has been very well-received.

Closer to home, the most significant externality for UHD at this time may be the extensive work being done around campus, most of which relates to the extension of the existing light rail line. This project is one element of a larger program to expand light rail service across Houston. The area to the north of campus, long neglected due to the 'pending' construction, is now in the process of being remade as part of the LRT expansion project. There will be new streets and sidewalks, improved intersections and lighting, as well as landscaping upgrades. In addition, as the LRT expansion project is being completed in the area surrounding campus, the City and TXDOT are completing work on long-awaited Hike & Bike paths which will bisect campus and greatly enhance pedestrian connectivity along both the north-south and east-west axes. With its distinctive location and mission, UHD has the potential to be a model urban university - a high-functioning urban campus that will one day garner national attention.

Approach Taken in Preparing for a 10 percent GR-related Base Reduction

With the ARRA funding having expired and the state and national economies still struggling to recover, the cut in state funding hit particularly hard last biennium. Entering FY2012 UHD's general revenue was reduced by approximately \$4.5M from the prior year, or \$9M for the biennium. UHD dealt with this through a number of actions, with the largest being the elimination of 37 FTE from its budget. Among these 37 FTE were numerous filled positions. UHD took additional steps to get to the \$4.5M target, ranging from modification of its communication allowance program to reduction in the level of custodial services provided in office space.

In developing its FY2013 budget UHD did rely more heavily on reserve funds than it had in the past. Unable to identify base budget dollars to provide pay increases for faculty and staff, UHD allocated \$1.1M of one-time funding for non-base merit stipends. This was considered a priority given that FY2013 would be the 3rd year out of the past four that UHD has been unable to provide any base salary increases for employees. This was beginning to impact the university's ability to attract and retain highly qualified personnel.

If its non-formula base budget were reduced by 10 percent for FY2014/15, UHD would lose \$437,190 over the biennium. Coming on the heels of the deep cuts sustained in FY2012/13, this would be a particularly unwelcome development. In dealing with the previous reductions UHD pared back non-salary budgets as much as possible, so it is likely that to reduce its base by the amount proposed would result in the elimination of additional positions. UHD already operates with the lowest GR-per-FTE-Student in the state, and as a result has very high ratios of students-to-faculty and students-to-staff. The challenge will be to absorb new cuts without diminishing the service/support provided to students.

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Approach Taken in Implementation of Federal Healthcare Legislation

It has been determined that there should be a single UH System response on this matter.

Purpose of Any New Funding Being Requested

UHD urges that efforts continue to provide for Texas's economic future through investment at all levels of the state's educational system. Continued support for the state's formula funding system will be necessary if Texas universities are to compete effectively with institutions from other states and, increasingly, institutions from around the world. The formula system has provided state universities with a degree of funding stability and predictability that is necessary for effective planning. In the area of non-formula funding, it is especially important that state leaders continue to make clear their commitment to fund future debt service on all outstanding tuition revenue bonds.

The university urges that every effort be made to reverse the recent trend of shifting an increasing share of the cost of higher education to the individual student. The impact of this change has been greatest on lower-income Texans, but as the Closing the Gaps plan recognizes, the state as a whole will suffer if it fails to develop the educated workforce needed to remain competitive in today's information economy. An educated citizenry is a public good and should be recognized as such.

In UHD's Administrator's Statement of two years ago strong support was offered for the Incentive Funds program that was approved during the 80th session of the Legislature. As an institution that produces a high number of graduates, including many from historically under-represented groups and in the STEM fields, or both, UHD received a significant allocation of Incentive Funds. At that time UHD argued that the one drawback to the Incentive Fund program as then constructed was that there was no assurance that it would carry forward from one biennium to the next. To that point, the Incentive Funds program was discontinued for FY2012/2013, as federal stimulus funds fell away. There are discussions now about reintroducing the Incentive Funds model, but with the money to fund it being carved out of what would otherwise be available to fund the formulas. UHD's position is that the Incentive Fund program is a good one, and one that rewards positive results, but that the funding for it should be add-on dollars rather than dollars shifted from the already underfunded formulas.

In addition to seeking greater overall support for higher education through the formula system, UHD is seeking special funding for the following institution-specific special item requests.

Tuition Revenue Bond Requests

UHD is submitting a single TRB request to the 83rd Legislature for consideration. In the fall of 2011, UHD operated with an overall space deficit of over 439,966 sq. ft. (assignable). Most of the deficit was in the areas of Teaching and Research. As the institution matures, it is requiring more 'special-use' as opposed to 'general use' space. This TRB request is designed to allow construction of special use space to expand academic and research programs, which will drive student recruitment, retention and success.

Science & Technology Building Tuition Revenue Bond Retirement (\$7,530,350 for the biennium)

The university is requesting authority to issue tuition revenue bonds to fund the construction and equip a state-of-the-art 120,000 sq. ft. classroom/lab building to serve as the home of the College of Sciences and Technology, one of four degree-granting colleges at the university. The college is home to all STEM (Science, Technology,

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Engineering, and Mathematics) academic programs and provides opportunities for students from under-represented groups to work for and attain degree goals in STEM fields. The funding requested would provide for the projected debt service costs associated with the bond issue described.

As the university's STEM programs have grown in size and recognition, the deficiencies in existing science and technology classroom and lab space have become an increasing challenge. At present, science and technology labs are located in the original UHD structure, the One Main Building, which was never designed for this purpose.

Exceptional Item Request

UHD is submitting one Exceptional Item request to the 83rd Legislature for consideration. The Exceptional Item Request is designed to provide Hispanic and African-American students greater access to higher education and the support mechanisms required for their success.

Urban Student Success Initiative (\$5,000,000 for the biennium)

UHD requests exceptional item funding to improve student retention and graduation rates. UHD serves the downtown and urban regions of Houston. Students entering from Houston area high schools have high remediation needs, are predominantly first generation to college, and are from low and moderate income households. Two-thirds of UHD students are Pell Grant eligible, 40% of all UHD students are Hispanic and 28% are African American. Roughly two-thirds are first generation college students. These students require college preparation courses, remediation, supplemental instruction, tutoring, and mentoring to increase success rates. In 1989, the 71st Texas Legislature increased appropriations for nine universities with high minority populations to allow these institutions to develop programs to increase participation and student success of Hispanic students in the border region. UHD, which serves an area with a large minority population and has characteristics that are virtually identical to the institutions included in the South Texas/Border Initiative, seeks funding that corresponds to the support provided by the South Texas Border Initiative.

Expanding access to quality higher education has been a central focus of the University's mission since its founding. In fact, UHD has been recognized for its success in graduating Hispanic and African-American students with baccalaureate degrees and is currently ranked 37th among all universities in the nation. Despite this ranking, UHD's first-year retention rate (67%) and six-year graduation rate (12%) are among the lowest of all Texas four-year universities. To produce a significant improvement in student success rates, UHD is in the process of reinventing its approach to identifying and assisting students who are struggling academically. The University is acquiring and implementing software that provides real-time monitoring of student academic progress. This technology will complement the University's newly adopted model wherein selected faculty are engaged to serve as advisors and mentors to UHD students. In adopting this nationally proven model UHD will develop a more robust Advising Center, establish a Mentoring Center, and put in place a High-Impact Experience (HIE) Program featuring a suite of initiatives that have proven effective at improving retention and graduation rates at other urban institutions with similar missions.

Conclusion

The University of Houston-Downtown is an institution that has been deeply committed for 38 years to the state's goal of providing "an affordable, accessible, and high quality system of higher education that prepares individuals for a changing economy and workforce." Demographic projections indicate that there will be 500,000 new students coming through the educational pipeline over the next decade. Many will be first-generation college students and a disproportionate number of them will be minorities. As the most ethnically diverse institution in the state, UHD will play a major role in providing access to this group. It has already made major contributions to meeting the Closing the Gaps goals and can make even greater contributions in the future.

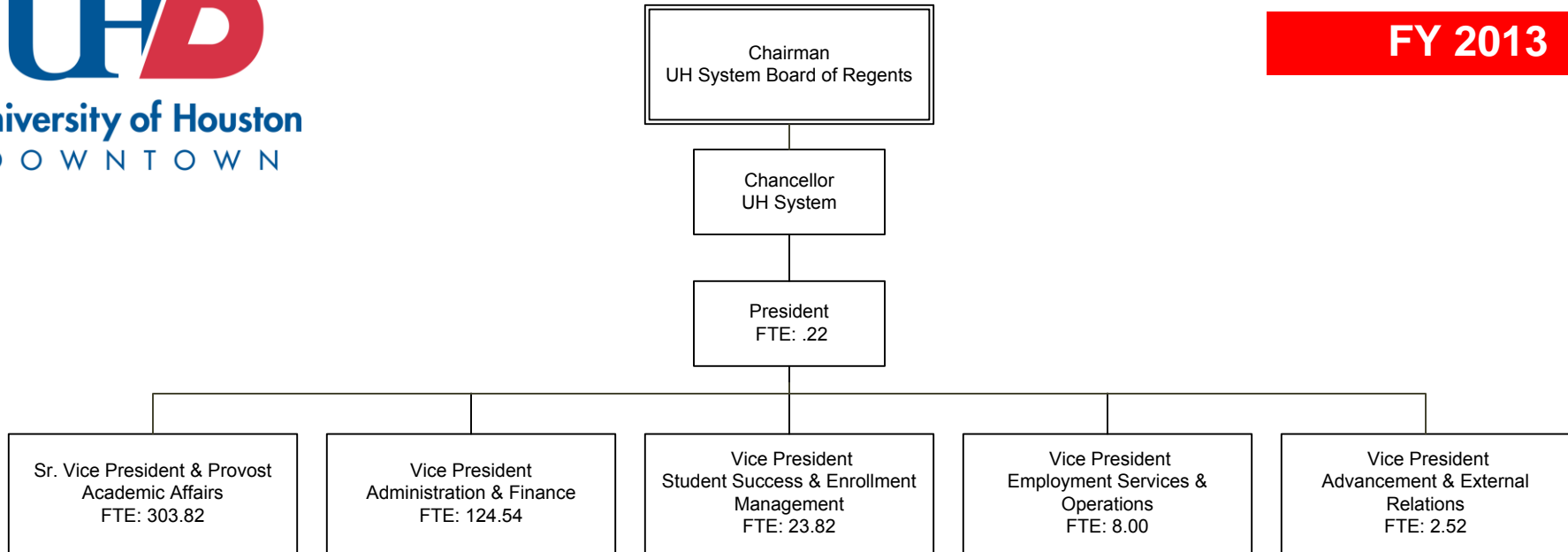
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While the current trends for UHD appear generally positive, it recognizes that the future is never certain. In the years ahead, UHD's contribution to the state's priority educational goals will be affected by a number of external factors, ranging from state funding levels to changing demographics to changes in the way students approach the post-secondary education experience. To assure its continued success, the university recognizes that it must be a responsive institution, not tied to past practices but ready to make the necessary changes to meet new challenges as they arrive. UHD is proud to be a public, urban university whose purpose is to serve the educational needs of the people of Texas.



Legend:
 FTE figures reflect UHD employees budgeted on state funds in FY13.

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
1 OPERATIONS SUPPORT (1)	30,557,060	30,477,089	30,832,143	0	0
3 STAFF GROUP INSURANCE PREMIUMS	1,337,126	1,318,563	1,300,000	1,404,000	1,460,160
4 WORKERS' COMPENSATION INSURANCE	86,997	88,499	90,000	151,004	151,004
6 TEXAS PUBLIC EDUCATION GRANTS	2,023,725	1,981,372	2,159,823	2,203,019	2,247,080
TOTAL, GOAL 1	\$34,004,908	\$33,865,523	\$34,381,966	\$3,758,023	\$3,858,244
2 Provide Infrastructure Support					
1 Provide Operation and Maintenance of E&G Space					
1 E&G SPACE SUPPORT (1)	2,051,530	1,632,621	1,636,996	0	0
2 TUITION REVENUE BOND RETIREMENT	6,195,960	0	0	0	0
TOTAL, GOAL 2	\$8,247,490	\$1,632,621	\$1,636,996	\$0	\$0
3 Provide Special Item Support					

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>3</u> <i>Public Service Special Item Support</i>					
1 COMMUNITY DEVELOPMENT PROJECT	346,801	397,531	397,531	397,531	397,531
<u>4</u> <i>Institutional Support Special Item Support</i>					
1 INSTITUTIONAL ENHANCEMENT	0	0	0	1,788,423	1,788,423
<u>5</u> <i>Exceptional Item Request</i>					
1 EXCEPTIONAL ITEM REQUEST	0	0	0	0	0
TOTAL, GOAL 3	\$346,801	\$397,531	\$397,531	\$2,185,954	\$2,185,954
<u>6</u> <i>Research Funds</i>					
<u>1</u> <i>Research Development Fund</i>					
1 RESEARCH DEVELOPMENT FUND (2)	56,984	52,124	52,124	0	0
TOTAL, GOAL 6	\$56,984	\$52,124	\$52,124	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$42,656,183	\$35,947,799	\$36,468,617	\$5,943,977	\$6,044,198

(2) - Research fund strategies are not requested because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$42,656,183	\$35,947,799	\$36,468,617	\$5,943,977	\$6,044,198
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	28,490,163	20,020,006	19,953,389	2,336,958	2,336,958
SUBTOTAL	\$28,490,163	\$20,020,006	\$19,953,389	\$2,336,958	\$2,336,958
General Revenue Dedicated Funds:					
704 Bd Authorized Tuition Inc	71,469	75,554	79,638	0	0
770 Est Oth Educ & Gen Inco	14,094,551	15,852,239	16,435,590	3,607,019	3,707,240
SUBTOTAL	\$14,166,020	\$15,927,793	\$16,515,228	\$3,607,019	\$3,707,240
TOTAL, METHOD OF FINANCING	\$42,656,183	\$35,947,799	\$36,468,617	\$5,943,977	\$6,044,198

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
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10/17/2012 1:31:05PM

Agency code: 784		Agency name: University of Houston - Downtown				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE</u>						
<u>1</u> General Revenue Fund						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2010-11 GAA)						
		\$30,340,156	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2012-13 GAA)						
		\$0	\$20,020,006	\$19,953,389	\$2,336,958	\$2,336,958
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>						
HB 4, 82nd Leg, Regular Session, Sec 1(a) General Revenue Reductions.						
		\$(1,807,638)	\$0	\$0	\$0	\$0
HB 4, 82nd Leg, Regular Session, Sec 1(a) General Revenue Reductions.						
		\$(42,349)	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>						
Lapsed TRB						
		\$(6)	\$0	\$0	\$0	\$0
TOTAL,	General Revenue Fund	\$28,490,163	\$20,020,006	\$19,953,389	\$2,336,958	\$2,336,958

2.B. Summary of Base Request by Method of Finance
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2012 1:31:05PM

Agency code: 784		Agency name: University of Houston - Downtown				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE</u>						
<u>2</u> Available School Fund No. 002 <i>BASE ADJUSTMENT</i>						
		\$0	\$0	\$0	\$0	\$0
TOTAL,	Available School Fund No. 002	\$0	\$0	\$0	\$0	\$0
<u>3</u> Instructional Materials Fund No. 003 <i>BASE ADJUSTMENT</i>						
		\$0	\$0	\$0	\$0	\$0
TOTAL,	Instructional Materials Fund No. 003	\$0	\$0	\$0	\$0	\$0
TOTAL, ALL	GENERAL REVENUE	\$28,490,163	\$20,020,006	\$19,953,389	\$2,336,958	\$2,336,958

GENERAL REVENUE FUND - DEDICATED

704 GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704
REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2010-11 GAA)

2.B. Summary of Base Request by Method of Finance
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2012 1:31:05PM

Agency code: 784		Agency name: University of Houston - Downtown				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE FUND - DEDICATED</u>						
		\$57,851	\$0	\$0	\$0	\$0
	Adjustment-Revised Receipts	\$13,618	\$1,417	\$5,501	\$0	\$0
	Regular Appropriations from MOF Table (2012-13 GAA)	\$0	\$74,137	\$74,137	\$0	\$0
TOTAL,	GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704	\$71,469	\$75,554	\$79,638	\$0	\$0
<u>770</u>	GR Dedicated - Estimated Other Educational and General Income Account No. 770					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriations from MOF Table (2010-11 GAA)	\$14,135,173	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2012-13 GAA)	\$0	\$13,813,555	\$13,991,598	\$3,607,019	\$3,707,240
	Adjustment-Revised Receipts	\$1,343,834	\$2,038,684	\$2,443,992	\$0	\$0

2.B. Summary of Base Request by Method of Finance
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2012 1:31:05PM

Agency code: 784		Agency name: University of Houston - Downtown				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE FUND - DEDICATED</u>						
Adjustment to Expended		\$ (1,384,456)	\$ 0	\$ 0	\$ 0	\$ 0
TOTAL,	GR Dedicated - Estimated Other Educational and General Income Account No. 770	\$14,094,551	\$15,852,239	\$16,435,590	\$3,607,019	\$3,707,240
TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770		\$14,166,020	\$15,927,793	\$16,515,228	\$3,607,019	\$3,707,240
TOTAL, ALL	GENERAL REVENUE FUND - DEDICATED	\$14,166,020	\$15,927,793	\$16,515,228	\$3,607,019	\$3,707,240
TOTAL,	GR & GR-DEDICATED FUNDS	\$42,656,183	\$35,947,799	\$36,468,617	\$5,943,977	\$6,044,198
GRAND TOTAL		\$42,656,183	\$35,947,799	\$36,468,617	\$5,943,977	\$6,044,198

2.B. Summary of Base Request by Method of Finance

10/17/2012 1:31:05PM

83rd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 784	Agency name: University of Houston - Downtown				
METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2010-11 GAA)	524.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2012-13 GAA)	0.0	558.0	558.0	462.9	462.9
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Unauthorized Number Over (Below) Cap	(32.8)	(92.7)	(95.1)	0.0	0.0
TOTAL, ADJUSTED FTES	491.2	465.3	462.9	462.9	462.9
 NUMBER OF 100% FEDERALLY FUNDED FTEs	 0.0	 0.0	 0.0	 0.0	 0.0

2.C. Summary of Base Request by Object of Expense

10/17/2012 1:31:05PM

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

784 University of Houston - Downtown

OBJECT OF EXPENSE	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1001 SALARIES AND WAGES	\$11,474,909	\$11,633,792	\$11,674,654	\$1,788,423	\$1,788,423
1002 OTHER PERSONNEL COSTS	\$498,663	\$483,537	\$449,318	\$0	\$0
1005 FACULTY SALARIES	\$20,357,593	\$20,036,053	\$20,397,291	\$0	\$0
2001 PROFESSIONAL FEES AND SERVICES	\$243,142	\$403,794	\$397,531	\$397,531	\$397,531
2003 CONSUMABLE SUPPLIES	\$2,500	\$0	\$0	\$0	\$0
2004 UTILITIES	\$335,493	\$0	\$0	\$0	\$0
2008 DEBT SERVICE	\$3,686,340	\$0	\$0	\$0	\$0
2009 OTHER OPERATING EXPENSE	\$5,969,125	\$3,390,623	\$3,549,823	\$3,758,023	\$3,858,244
3001 CLIENT SERVICES	\$1,200	\$0	\$0	\$0	\$0
4000 GRANTS	\$87,218	\$0	\$0	\$0	\$0
OOE Total (Excluding Riders)	\$42,656,183	\$35,947,799	\$36,468,617	\$5,943,977	\$6,044,198
OOE Total (Riders)					
Grand Total	\$42,656,183	\$35,947,799	\$36,468,617	\$5,943,977	\$6,044,198

2.D. Summary of Base Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/17/2012 1:31:05PM

784 University of Houston - Downtown

Goal/ Objective / Outcome	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs	14.62%	11.77%	13.43%	15.71 %	17.52 %
2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs	10.47%	6.33%	8.33%	10.33 %	12.33 %
3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs	17.50%	14.36%	16.36%	18.36 %	20.36 %
4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs	10.42%	6.70%	8.70%	10.70 %	12.70 %
5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs	16.35%	15.48%	17.48%	19.48 %	21.48 %
KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs	2.65%	2.20%	2.74%	3.08 %	3.57 %
7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs	4.11%	3.36%	4.15%	4.95 %	5.74 %
8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs	1.51%	1.96%	2.28%	2.60 %	2.93 %
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs	1.89%	1.52%	1.91%	2.30 %	2.69 %
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs	5.56%	3.93%	4.54%	5.16 %	5.77 %
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr	59.59%	60.35%	61.53%	63.53 %	65.52 %
12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr	64.71%	59.34%	61.34%	63.34 %	65.34 %

2.D. Summary of Base Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/17/2012 1:31:05PM

784 University of Houston - Downtown

<i>Goal/ Objective / Outcome</i>	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr	62.14%	64.42%	66.42%	68.42 %	70.42 %
14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr	52.05%	51.13%	53.13%	55.13 %	57.13 %
15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr	61.94%	60.55%	62.55%	64.55 %	66.55 %
16 Percent of Semester Credit Hours Completed	93.68%	93.78%	93.88%	93.98 %	94.08 %
KEY 17 Certification Rate of Teacher Education Graduates	84.10%	92.33%	93.08%	93.83 %	94.58 %
18 Percentage of Underprepared Students Satisfy TSI Obligation in Math	26.00%	28.00%	29.00%	30.00 %	31.00 %
19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing	36.00%	38.00%	40.00%	42.00 %	44.00 %
20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading	39.00%	41.00%	43.00%	45.00 %	47.00 %
KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	58.34%	60.00%	61.00%	62.00 %	63.00 %
KEY 22 Percent of Transfer Students Who Graduate within 4 Years	52.78%	55.13%	55.30%	55.47 %	55.63 %
KEY 23 Percent of Transfer Students Who Graduate within 2 Years	26.42%	31.82%	37.22%	42.61 %	48.01 %
KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track	38.47%	38.61%	38.76%	38.91 %	39.05 %
KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions)	1.28	1.30	1.43	1.57	1.73

2.D. Summary of Base Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/17/2012 1:31:05PM

784 University of Houston - Downtown

<i>Goal/ Objective / Outcome</i>	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
31 External or Sponsored Research Funds As a % of State Appropriations	4.50%	3.40%	3.74%	4.11 %	4.53 %
32 External Research Funds As Percentage Appropriated for Research	2,246.70%	1,291.40%	1,304.31%	1,317.36 %	1,330.53 %
48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year	0.00%	0.00%	0.00%	0.00 %	0.00 %
49 Average No Months Endowed Chairs Remain Vacant	0.00	0.00	0.00	0.00	0.00

2.E. Summary of Exceptional Items Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2012
 TIME : 1:31:06PM

Agency code: 784

Agency name: **University of Houston - Downtown**

Priority	Item	2014			2015			Biennium	
		GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds
1	Science and Technology Building	\$3,765,175	\$3,765,175		\$3,765,175	\$3,765,175		\$7,530,350	\$7,530,350
2	Urban Student Success Initiative	\$2,500,000	\$2,500,000	3.0	\$2,500,000	\$2,500,000	3.0	\$5,000,000	\$5,000,000
Total, Exceptional Items Request		\$6,265,175	\$6,265,175	3.0	\$6,265,175	\$6,265,175	3.0	\$12,530,350	\$12,530,350

Method of Financing

General Revenue	\$6,265,175	\$6,265,175		\$6,265,175	\$6,265,175		\$12,530,350	\$12,530,350
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$6,265,175	\$6,265,175		\$6,265,175	\$6,265,175		\$12,530,350	\$12,530,350

Full Time Equivalent Positions

3.0

3.0

Number of 100% Federally Funded FTEs

0.0

0.0

2.F. Summary of Total Request by Strategy
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2012

TIME : 1:31:06PM

Agency code: 784 Agency name: University of Houston - Downtown

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
1 Provide Instructional and Operations Support						
<i>1 Provide Instructional and Operations Support</i>						
1 OPERATIONS SUPPORT	\$0	\$0	\$0	\$0	\$0	\$0
3 STAFF GROUP INSURANCE PREMIUMS	1,404,000	1,460,160	0	0	1,404,000	1,460,160
4 WORKERS' COMPENSATION INSURANCE	151,004	151,004	0	0	151,004	151,004
6 TEXAS PUBLIC EDUCATION GRANTS	2,203,019	2,247,080	0	0	2,203,019	2,247,080
TOTAL, GOAL 1	\$3,758,023	\$3,858,244	\$0	\$0	\$3,758,023	\$3,858,244
2 Provide Infrastructure Support						
<i>1 Provide Operation and Maintenance of E&G Space</i>						
1 E&G SPACE SUPPORT	0	0	0	0	0	0
2 TUITION REVENUE BOND RETIREMENT	0	0	3,765,175	3,765,175	3,765,175	3,765,175
TOTAL, GOAL 2	\$0	\$0	\$3,765,175	\$3,765,175	\$3,765,175	\$3,765,175
3 Provide Special Item Support						
<i>3 Public Service Special Item Support</i>						
1 COMMUNITY DEVELOPMENT PROJECT	397,531	397,531	0	0	397,531	397,531
<i>4 Institutional Support Special Item Support</i>						
1 INSTITUTIONAL ENHANCEMENT	1,788,423	1,788,423	0	0	1,788,423	1,788,423
<i>5 Exceptional Item Request</i>						
1 EXCEPTONAL ITEM REQUEST	0	0	2,500,000	2,500,000	2,500,000	2,500,000
TOTAL, GOAL 3	\$2,185,954	\$2,185,954	\$2,500,000	\$2,500,000	\$4,685,954	\$4,685,954

2.F. Summary of Total Request by Strategy
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2012

TIME : 1:31:06PM

Agency code: 784 Agency name: University of Houston - Downtown

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
6 Research Funds						
1 <i>Research Development Fund</i>						
1 RESEARCH DEVELOPMENT FUND	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL, GOAL 6	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$5,943,977	\$6,044,198	\$6,265,175	\$6,265,175	\$12,209,152	\$12,309,373
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$5,943,977	\$6,044,198	\$6,265,175	\$6,265,175	\$12,209,152	\$12,309,373

2.F. Summary of Total Request by Strategy
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2012

TIME : 1:31:06PM

Agency code: 784 Agency name: University of Houston - Downtown

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
General Revenue Funds:						
1 General Revenue Fund	\$2,336,958	\$2,336,958	\$6,265,175	\$6,265,175	\$8,602,133	\$8,602,133
	\$2,336,958	\$2,336,958	\$6,265,175	\$6,265,175	\$8,602,133	\$8,602,133
General Revenue Dedicated Funds:						
704 Bd Authorized Tuition Inc	0	0	0	0	0	0
770 Est Oth Educ & Gen Inco	3,607,019	3,707,240	0	0	3,607,019	3,707,240
	\$3,607,019	\$3,707,240	\$0	\$0	\$3,607,019	\$3,707,240
TOTAL, METHOD OF FINANCING	\$5,943,977	\$6,044,198	\$6,265,175	\$6,265,175	\$12,209,152	\$12,309,373
FULL TIME EQUIVALENT POSITIONS	462.9	462.9	3.0	3.0	465.9	465.9

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2012

Time: 1:31:06PM

Agency code: 784

Agency name: University of Houston - Downtown

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
1 Provide Instructional and Operations Support						
1 <i>Provide Instructional and Operations Support</i>						
KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs						
	15.71%	17.52%			15.71%	17.52 %
2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs						
	10.33%	12.33%			10.33%	12.33 %
3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs						
	18.36%	20.36%			18.36%	20.36 %
4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs						
	10.70%	12.70%			10.70%	12.70 %
5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs						
	19.48%	21.48%			19.48%	21.48 %
KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs						
	3.08%	3.57%			3.08%	3.57 %
7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs						
	4.95%	5.74%			4.95%	5.74 %
8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs						
	2.60%	2.93%			2.60%	2.93 %

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2012
 Time: 1:31:06PM

Agency code: 784

Agency name: University of Houston - Downtown

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs	2.30%	2.69%			2.30%	2.69 %
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs	5.16%	5.77%			5.16%	5.77 %
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr	63.53%	65.52%			63.53%	65.52 %
12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr	63.34%	65.34%			63.34%	65.34 %
13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr	68.42%	70.42%			68.42%	70.42 %
14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr	55.13%	57.13%			55.13%	57.13 %
15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr	64.55%	66.55%			64.55%	66.55 %
16 Percent of Semester Credit Hours Completed	93.98%	94.08%			93.98%	94.08 %
KEY 17 Certification Rate of Teacher Education Graduates	93.83%	94.58%			93.83%	94.58 %

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2012
 Time: 1:31:06PM

Agency code: 784

Agency name: University of Houston - Downtown

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
18 Percentage of Underprepared Students Satisfy TSI Obligation in Math	30.00%	31.00%			30.00%	31.00 %
19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing	42.00%	44.00%			42.00%	44.00 %
20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading	45.00%	47.00%			45.00%	47.00 %
KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	62.00%	63.00%			62.00%	63.00 %
KEY 22 Percent of Transfer Students Who Graduate within 4 Years	55.47%	55.63%			55.47%	55.63 %
KEY 23 Percent of Transfer Students Who Graduate within 2 Years	42.61%	48.01%			42.61%	48.01 %
KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track	38.91%	39.05%			38.91%	39.05 %
KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions)	1.57	1.73			1.57	1.73
31 External or Sponsored Research Funds As a % of State Appropriations	4.11%	4.53%			4.11%	4.53 %

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2012

Time: 1:31:06PM

Agency code: 784

Agency name: University of Houston - Downtown

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
32 External Research Funds As Percentage Appropriated for Research	1,317.36%	1,330.53%			1,317.36%	1,330.53 %
48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year	0.00%	0.00%			0.00%	0.00 %
49 Average No Months Endowed Chairs Remain Vacant	0.00	0.00			0.00	0.00

784 University of Houston - Downtown

GOAL: 1 Provide Instructional and Operations Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 1 Operations Support Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽¹⁾	BL 2015 ⁽¹⁾
Output Measures:						
1	Number of Undergraduate Degrees Awarded	2,437.00	2,580.00	2,732.00	2,893.00	3,063.00
2	Number of Minority Graduates	1,473.00	1,506.00	1,595.00	1,688.00	1,788.00
3	Number of Underprepared Students Who Satisfy TSI Obligation in Math	102.00	124.00	128.00	133.00	137.00
4	Number of Underprepared Students Who Satisfy TSI Obligation in Writing	58.00	66.00	69.00	73.00	76.00
5	Number of Underprepared Students Who Satisfy TSI Obligation in Reading	124.00	133.00	139.00	146.00	152.00
6	Number of Two-Year College Transfers Who Graduate	1,355.00	1,411.00	1,265.00	1,339.00	1,418.00
Efficiency Measures:						
KEY 1	Administrative Cost As a Percent of Operating Budget	13.03 %	12.55 %	12.55 %	12.55 %	12.55 %
Explanatory/Input Measures:						
1	Student/Faculty Ratio	18.31	18.70	18.95	19.20	19.45
2	Number of Minority Students Enrolled	8,520.00	8,460.00	8,674.00	9,037.00	9,400.00
3	Number of Community College Transfers Enrolled	5,579.00	5,857.00	5,533.00	5,765.00	5,996.00
4	Number of Semester Credit Hours Completed	118,643.00	119,078.00	124,299.00	129,101.00	133,904.00
5	Number of Semester Credit Hours	126,336.00	128,512.00	133,677.00	138,842.00	144,007.00

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

784 University of Houston - Downtown

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽¹⁾	BL 2015 ⁽¹⁾
6	Number of Students Enrolled as of the Twelfth Class Day	12,900.00	12,918.00	13,483.00	14,048.00	14,613.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$9,705,307	\$9,949,047	\$9,985,534	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$477,740	\$483,537	\$449,318	\$0	\$0
1005	FACULTY SALARIES	\$20,357,593	\$20,036,053	\$20,397,291	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$805	\$6,263	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$10,897	\$2,189	\$0	\$0	\$0
4000	GRANTS	\$4,718	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$30,557,060	\$30,477,089	\$30,832,143	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$19,780,583	\$17,878,577	\$17,806,738	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$19,780,583	\$17,878,577	\$17,806,738	\$0	\$0
Method of Financing:						
704	Bd Authorized Tuition Inc	\$71,469	\$75,554	\$79,638	\$0	\$0
770	Est Oth Educ & Gen Inco	\$10,705,008	\$12,522,958	\$12,945,767	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$10,776,477	\$12,598,512	\$13,025,405	\$0	\$0

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

784 University of Houston - Downtown

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	1	Operations Support	Service: 19	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
					(1)	(1)
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$30,557,060	\$30,477,089	\$30,832,143	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		451.3	426.8	424.2	424.2	424.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Instruction and Operations Formula provides funding for faculty salaries, departmental operating expenses, library, instructional administration, research enhancement, student services and institutional support. The funds are distributed on a weighted semester credit hour basis. The rate per weighted semester credit hour is established by the Legislature each biennium.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

784 University of Houston - Downtown

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0		
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:				
STRATEGY:	1	Operations Support	Service: 19	Income: A.2	Age: B.3		
				(1)	(1)		
CODE		DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015

Operations Support funding is driven by both enrollment growth and enrollment mix.

The University continues to refine its Table of Programs. New degree programs have been added and low-producing programs are in the process of being phased out. Over the past five years UHD has experienced significant growth in the number of hours delivered on-line. The University now offers numerous on-line ‘degree completion’ options, wherein students can take all or part of their last 60 hours on-line.

The state economy is recovering steadily, but the legislature will again face a budget shortfall as it convenes in January 2013. There are still considerable headwinds facing higher education, in Texas and in general. In Texas the price to the consumer (students) for a college education has risen dramatically since tuition was deregulated in 2003, and this cannot continue. More globally, the emergence of for-profit providers and the looming threat of cuts to federal/state financial aid programs are causes for concern.

Past support from the legislature in the form of TRBs and HEAF has enabled UHD to develop a modern urban campus. The University is currently benefitting from infrastructure improvements that are coming about due to the expansion of METRO light rail in the downtown campus area.

While there are challenges ahead, the university believes that with expanded programs, strong community college partnerships, quality facilities, and commitment to affordability, it is well-positioned to do its part to ensure the State of Texas meets its higher education goals.

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

784 University of Houston - Downtown

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	3	Staff Group Insurance Premiums	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$1,337,126	\$1,318,563	\$1,300,000	\$1,404,000	\$1,460,160
TOTAL, OBJECT OF EXPENSE		\$1,337,126	\$1,318,563	\$1,300,000	\$1,404,000	\$1,460,160
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$1,337,126	\$1,318,563	\$1,300,000	\$1,404,000	\$1,460,160
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,337,126	\$1,318,563	\$1,300,000	\$1,404,000	\$1,460,160
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,404,000	\$1,460,160
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,337,126	\$1,318,563	\$1,300,000	\$1,404,000	\$1,460,160

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy is to provide proportional share of staff group insurance premiums paid from Other Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

784 University of Houston - Downtown

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	3	Staff Group Insurance Premiums	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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For FY2008/09 the 80th Legislature provided nearly full funding of the state portion of group health insurance premiums for higher education agencies (HEGI funds). Prior to this, many state universities would have to use significant amounts of Other E&G funds to cover group health premiums for state-funded employees. Adequate funding continued into FY2010/11, but as the state budget was reduced for FY2012/13 HEGI funding was once again pulled back with this funding reduced the University has to use significant amounts of Other E&G funds (tuition revenue) to cover group health premiums for state-funded employees.

A key external factor is the cost of health care, which continues to rise at a rate in excess of the CPI. Universities are now seeing more cost shifting to employees through higher premiums, higher co-pays, and higher out-of-pocket maximums.

More of the university's base operations are being covered by local rather than state funds. The benefits – both health and retirement – provided to employees paid from local funds are borne entirely by the institution. This has become a significant cost driver for Texas state universities.

784 University of Houston - Downtown

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 4 Workers' Compensation Insurance

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$86,997	\$88,499	\$90,000	\$151,004	\$151,004
TOTAL, OBJECT OF EXPENSE		\$86,997	\$88,499	\$90,000	\$151,004	\$151,004
Method of Financing:						
1	General Revenue Fund	\$58,305	\$59,153	\$60,000	\$151,004	\$151,004
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$58,305	\$59,153	\$60,000	\$151,004	\$151,004
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$28,692	\$29,346	\$30,000	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$28,692	\$29,346	\$30,000	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$151,004	\$151,004
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$86,997	\$88,499	\$90,000	\$151,004	\$151,004
FULL TIME EQUIVALENT POSITIONS:						

784 University of Houston - Downtown

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	4	Workers' Compensation Insurance	Service: 19	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy funds the Worker's Compensation payments related to Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

This strategy is impacted internally by the implementation and enforcement of a sound safety program. In a recent agency review by the State Office of Risk Management (SORM) UHD was cited for having a low incidence rate of employees filing worker's comp claims. A key external factor is the escalating cost of health care.

784 University of Houston - Downtown

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	6	Texas Public Education Grants	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$2,023,725	\$1,981,372	\$2,159,823	\$2,203,019	\$2,247,080
TOTAL, OBJECT OF EXPENSE		\$2,023,725	\$1,981,372	\$2,159,823	\$2,203,019	\$2,247,080
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$2,023,725	\$1,981,372	\$2,159,823	\$2,203,019	\$2,247,080
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,023,725	\$1,981,372	\$2,159,823	\$2,203,019	\$2,247,080
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,203,019	\$2,247,080
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,023,725	\$1,981,372	\$2,159,823	\$2,203,019	\$2,247,080

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy represents tuition set aside for the Texas Public Education Grants program as required by Section 56.033 of the Texas Education Code.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

784 University of Houston - Downtown

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	6	Texas Public Education Grants	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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This strategy is impacted by enrollment growth, the mix of resident/non-resident students, and the current \$50 cap on state-mandated undergraduate tuition. Enrollment growth and the resident/non-resident mix are effected by internal factors, including program offerings, marketing/recruiting efforts, and overall affordability.

Since the \$50 cap was implemented in 2007, TPEG revenue has grown at a slower pace relative to enrollment. TPEG scholarship funds are increasingly being replaced by TEXAS Grant funds and designated tuition set-aside scholarship dollars.

As federal and state budget challenges cause grant programs to become a diminishing piece of the financial aid pie, students increasingly turn to loans, which leave many in a position of beginning their work careers burdened by considerable personal debt. This is particularly troublesome for those students who attend a college or university for a period of time but do not complete a degree. Many of those students end up with the personal debt that is too often part of the college/university experience without the higher earning power that comes with the degree.

784 University of Houston - Downtown

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 1 Educational and General Space Support Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽¹⁾	BL 2015 ⁽¹⁾
Efficiency Measures:						
1	Space Utilization Rate of Classrooms	29.01	28.00	29.00	30.00	31.00
2	Space Utilization Rate of Labs	21.88	22.00	22.50	23.00	23.50
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,695,114	\$1,632,621	\$1,636,996	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$20,923	\$0	\$0	\$0	\$0
2004	UTILITIES	\$335,493	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$2,051,530	\$1,632,621	\$1,636,996	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$2,051,530	\$1,632,621	\$1,636,996	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,051,530	\$1,632,621	\$1,636,996	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,051,530	\$1,632,621	\$1,636,996	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		38.4	37.6	38.0	38.0	38.0

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

784 University of Houston - Downtown

GOAL:	2	Provide Infrastructure Support		Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space		Service Categories:		
STRATEGY:	1	Educational and General Space Support		Service: 10	Income: A.2	Age: B.3
					(1)	(1)
CODE		DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014
						BL 2015

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Infrastructure Support formula distributes funding associated with plant-related formulas and utilities. This formula is driven by the predicted square feet for universities' educational and general activities produced by the Coordinating Board Space Projection Model. The portion of the formula related to utilities is adjusted to reflect differences in unit cost for purchased utilities, including electricity, natural gas, water and wastewater, and thermal energy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Over the years the State of Texas has been very supportive in providing the bonding authority/debt service funding needed by UHD to expand its facilities. Between 1997 and 2007 UHD added 400,000 gsf of new construction, largely in the form of academic/instructional space, bringing the UHD campus to a total of approximately 1 million gsf. The evolution of UHD's physical campus from a dense, highly-efficient one building vertical campus to a more conventional and inevitably less efficient horizontal model has resulted in higher per-square foot maintenance and utilities costs.

As students choose to receive more of their instruction on-line and/or through the 'hybrid' model there will be implications for the amount of new E&G space needed in the future. However, the space already in place will need to be supported adequately through this critical formula item.

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

784 University of Houston - Downtown

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	2	Tuition Revenue Bond Retirement	Service: 10	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2008	DEBT SERVICE	\$3,686,340	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$2,509,620	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$6,195,960	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$6,195,960	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,195,960	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$6,195,960	\$0	\$0	\$0	\$0

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

Continuation of this special item provides funds for debt service on tuition revenue bonds issued for UHD 's three classroom buildings – the 147,000 gsf Academic & Student Services Building (Fall 1998), the 90,000 gsf Commerce Street Building (Fall 2003), and the 132,000 gsf Shea Street Building (Fall 2007). This item also provides funds to service debt on bonds issued in 1999 for implementation of Phase II of the UHD Campus Development plan. The funding to pay debt service on Tuition Revenue Bonds was transferred to the UH System Administration beginning FY 2012/2013.

784 University of Houston - Downtown

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	2	Tuition Revenue Bond Retirement	Service: 10	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

In the past, during another period of state budget turbulence, the legislature made the decision to provide less than full funding for these fixed obligations. The universities covered the shortfall with other funds, all obligations were met, and full funding was later restored. The idea that the legislature might not provide the full amounts needed to meet TRB obligations undercuts lender confidence and could result in higher borrowing costs to the state. It is imperative that the state continue to provide the funding needed to fully meet the commitments that have already been made.

784 University of Houston - Downtown

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	1	Community Development Project	Service: 15	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$17,504	\$0	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$242,337	\$397,531	\$397,531	\$397,531	\$397,531
2003	CONSUMABLE SUPPLIES	\$2,500	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$760	\$0	\$0	\$0	\$0
3001	CLIENT SERVICES	\$1,200	\$0	\$0	\$0	\$0
4000	GRANTS	\$82,500	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$346,801	\$397,531	\$397,531	\$397,531	\$397,531
Method of Financing:						
1	General Revenue Fund	\$346,801	\$397,531	\$397,531	\$397,531	\$397,531
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$346,801	\$397,531	\$397,531	\$397,531	\$397,531
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$397,531	\$397,531
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$346,801	\$397,531	\$397,531	\$397,531	\$397,531
FULL TIME EQUIVALENT POSITIONS:		0.7	0.2	0.0	0.0	0.0

784 University of Houston - Downtown

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	1	Community Development Project	Service: 15	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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STRATEGY DESCRIPTION AND JUSTIFICATION:

These funds serve as seed money for community-based efforts to revitalize two separate economically depressed north side neighborhoods - the predominately African-American Acres Homes subdivision and the largely Hispanic area bounded by downtown, I-45N, 610 Loop North and Highway 59N. Projects in both areas have their own boards that oversee a variety of economic development and community enhancement efforts. These boards, which are required to provide periodic status reports to the university leadership, operate with a high degree of autonomy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The individual projects include a variety of economic development and community enhancement programs. Each project must provide semi-annual progress reports to the university leadership.

784 University of Houston - Downtown

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	4	Institutional Support Special Item Support	Service Categories:		
STRATEGY:	1	Institutional Enhancement	Service: 19	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$1,788,423	\$1,788,423
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$1,788,423	\$1,788,423
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$1,788,423	\$1,788,423
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$1,788,423	\$1,788,423
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,788,423	\$1,788,423
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$1,788,423	\$1,788,423
FULL TIME EQUIVALENT POSITIONS:				0.0	0.0	0.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

This item was first created by the 76th Legislature and has been adjusted numerous times over the years. While the basis for this funding ties back to discontinued special items that were rolled up into a single line, the fact is that Institutional Enhancement funds have become de facto operating dollars for state universities, comparable in terms of use to what is received through the Operations Support formula.

784 University of Houston - Downtown

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	4	Institutional Support Special Item Support	Service Categories:		
STRATEGY:	1	Institutional Enhancement	Service: 19	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

As with most institutions of higher ed in Texas, UHD uses Institutional Enhancement funds to support many of its core operations. Losing this funding would have the effect of an across-the-board decrease in state support.

784 University of Houston - Downtown

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 5 Exceptional Item Request
 STRATEGY: 1 Exceptional Item Request

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: NA Income: NA Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	0.0	0.0	0.0
STRATEGY DESCRIPTION AND JUSTIFICATION:						

784 University of Houston - Downtown

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	5	Exceptional Item Request	Service Categories:		
STRATEGY:	1	Exceptional Item Request	Service: NA	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

784 University of Houston - Downtown

GOAL: 6 Research Funds Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 1 Research Development Fund Service Categories:
 STRATEGY: 1 Research Development Fund Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽²⁾	BL 2015 ⁽²⁾
Objects of Expense:						
1001	SALARIES AND WAGES	\$56,984	\$52,124	\$52,124	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$56,984	\$52,124	\$52,124	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$56,984	\$52,124	\$52,124	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$56,984	\$52,124	\$52,124	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$56,984	\$52,124	\$52,124	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		0.8	0.7	0.7	0.7	0.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Research Development Fund is distributed among eligible institutions based on the average amount of restricted research funds expended by each institution per year for the three preceding fiscal years. The purpose of these funds is to promote research capacity.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(2) - Research fund strategies are not requested because amounts are not determined by institutions.

784 University of Houston - Downtown

GOAL:	6	Research Funds		Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Research Development Fund		Service Categories:		
STRATEGY:	1	Research Development Fund		Service: 21	Income: A.2	Age: B.3
					(2)	(2)
CODE		DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014
						BL 2015

While a general academic teaching institution, UHD recognizes the importance of research activity for faculty and student development and is able to provide motivated students with high-quality undergraduate research opportunities. The university has been recognized at both the state and national level for the success of its Scholars Academy program, an academically competitive program in the College of Science and technology that promotes scholarship and student success for undergraduate student majoring in Science, Technology, Engineering and Mathematics (STEM). Scholars participate in academic seminars, field trips to research sites, and research internships.

A significant external factor for UH-Downtown is that as a Minority-Serving (MSI) and Hispanic-Serving (HSI) institution, it has access to federal research funds set aside for such designated institutions. UHD has moved to significantly strengthen its Office of Research and Sponsored Programs and expects that the investments made in this area will lead to more grant activity and increased state support through the RDF program.

(2) - Research fund strategies are not requested because amounts are not determined by institutions.

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$42,656,183	\$35,947,799	\$36,468,617	\$5,943,977	\$6,044,198
METHODS OF FINANCE (INCLUDING RIDERS):				\$5,943,977	\$6,044,198
METHODS OF FINANCE (EXCLUDING RIDERS):	\$42,656,183	\$35,947,799	\$36,468,617	\$5,943,977	\$6,044,198
FULL TIME EQUIVALENT POSITIONS:	491.2	465.3	462.9	462.9	462.9

(2) - Research fund strategies are not requested because amounts are not determined by institutions.

4.A. Exceptional Item Request Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/17/2012**
 TIME: **1:31:07PM**

Agency code: **784**

Agency name:
University of Houston - Downtown

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: Science and Technology Building Item Priority: 1 Includes Funding for the Following Strategy or Strategies: 02-01-02 Tuition Revenue Bond Retirement		
OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	3,765,175	3,765,175
TOTAL, OBJECT OF EXPENSE		3,765,175	3,765,175
METHOD OF FINANCING:			
1	General Revenue Fund	3,765,175	3,765,175
TOTAL, METHOD OF FINANCING		3,765,175	3,765,175

DESCRIPTION / JUSTIFICATION:

UHD is submitting a single TRB request to the 83rd Legislature for consideration. In the fall of 2011, UHD operated with an overall space deficit of over 439,966 sq. ft. (assignable). Most of the deficit was in the areas of Teaching and Research. As the institution matures, it is requiring more ‘special-use’ as opposed to ‘general use’ space. This TRB request is designed to allow construction of special use space to expand academic and research programs, which will drive student recruitment, retention and success.

The University of Houston-Downtown (UHD) requests special line item authority to issue up to \$41,429,000 in tuition revenue bonds to fund the construction and equip a state-of-the-art 120,000 sq. ft. Science & Technology building. An attached multi – level parking facility, projected at \$10,000,000 and to be funded through issuance of auxiliary revenue bonds, will be a part of this overall project. The new building will serve as the home of the College of Sciences and Technology, one of four degree-granting colleges at the university. The college is home to all STEM (Science, Technology, Engineering, and Mathematics) academic programs and provides opportunities for students from under-represented groups to work for and attain degree goals in STEM fields. The funding requested would provide for the projected debt service costs associated with the bond issue described.

While the principal and interest debt service for new tuition revenue bonds is being requested here, any amounts appropriated should be to the UH System Administration.

EXTERNAL/INTERNAL FACTORS:

As the university’s STEM programs have grown in size and recognition, the deficiencies in existing science and technology classroom and lab space have become an increasing challenge. At present, science and technology labs are located in the original UHD structure, the One Main Building, which was never designed for this purpose.

4.A. Exceptional Item Request Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2012
 TIME: 1:31:07PM

Agency code: 784

Agency name:
University of Houston - Downtown

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: Urban Student Success Initiative		
	Item Priority: 2		
	Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	197,400	197,400
2009	OTHER OPERATING EXPENSE	2,302,600	2,302,600
TOTAL, OBJECT OF EXPENSE		\$2,500,000	\$2,500,000
METHOD OF FINANCING:			
1	General Revenue Fund	2,500,000	2,500,000
TOTAL, METHOD OF FINANCING		\$2,500,000	\$2,500,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.00	3.00

DESCRIPTION / JUSTIFICATION:

UHD is submitting one Exceptional Item request to the 83rd Legislature for consideration. The Exceptional Item Request is designed to provide Hispanic and African-American students greater access to higher education and the support mechanisms required for their success.

UHD requests exceptional item funding to improve student retention and graduation rates. UHD serves the downtown and urban regions of Houston. Students entering from Houston area high schools have high remediation needs, are predominantly first generation to college, and are from low and moderate income households. Two-thirds of UHD students are Pell Grant eligible, 40% of all UHD students are Hispanic and 28% are African American. Roughly two-thirds are first generation college students. These students require college preparation courses, remediation, supplemental instruction, tutoring, and mentoring to increase success rates. In 1989, the 71st Texas Legislature increased appropriations for nine universities with high minority populations to allow these institutions to develop programs to increase participation and student success of Hispanic students in the border region. UHD, which serves an area with a large minority population and has characteristics that are virtually identical to the institutions included in the South Texas/Border Initiative, seeks funding that corresponds to the support provided by the South Texas Border Initiative.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/17/2012**
TIME: **1:31:07PM**

Agency code: **784**

Agency name:

University of Houston - Downtown

CODE	DESCRIPTION	Excp 2014	Excp 2015
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Expanding access to quality higher education has been a central focus of the University's mission since its founding. In fact, UHD has been recognized for its success in graduating Hispanic and African-American students with baccalaureate degrees and is currently ranked 37th among all universities in the nation. Despite this ranking, UHD's first-year retention rate (67%) and six-year graduation rate (12%) are among the lowest of all Texas four-year universities. To produce a significant improvement in student success rates, UHD is in the process of reinventing its approach to identifying and assisting students who are struggling academically. The University is acquiring and implementing software that provides real-time monitoring of student academic progress. This technology will complement the University's newly adopted model wherein selected faculty are engaged to serve as advisors and mentors to UHD students. In adopting this nationally proven model UHD will develop a more robust Advising Center, establish a Mentoring Center, and put in place a High-Impact Experience (HIE) Program featuring a suite of initiatives that have proven effective at improving retention and graduation rates at other urban institutions with similar missions.

Exceptional item funding would allow the university to scale up the work that is being done in this area. The requested funds would be used increase supplemental instruction, provide for the purchase of critical software, train faculty and staff, and support individual and group instruction programs associated with the HIE Program. Twenty percent of the funds would be used for student scholarships to ensure that low-income students can persist and graduate.

4.B. Exceptional Items Strategy Allocation Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/17/2012**
 TIME: **1:31:08PM**

Agency code: **784** Agency name: **University of Houston - Downtown**

Code	Description	Excp 2014	Excp 2015
Item Name: Science and Technology Building			
Allocation to Strategy: 2-1-2 Tuition Revenue Bond Retirement			
OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	3,765,175	3,765,175
TOTAL, OBJECT OF EXPENSE		\$3,765,175	\$3,765,175
METHOD OF FINANCING:			
1	General Revenue Fund	3,765,175	3,765,175
TOTAL, METHOD OF FINANCING		\$3,765,175	\$3,765,175

4.B. Exceptional Items Strategy Allocation Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/17/2012**
 TIME: **1:31:08PM**

Agency code: **784** Agency name: **University of Houston - Downtown**

Code	Description	Excp 2014	Excp 2015
Item Name: Urban Student Success Initiative			
Allocation to Strategy: 3-5-1 Exceptional Item Request			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	197,400	197,400
2009	OTHER OPERATING EXPENSE	2,302,600	2,302,600
TOTAL, OBJECT OF EXPENSE		\$2,500,000	\$2,500,000
METHOD OF FINANCING:			
1	General Revenue Fund	2,500,000	2,500,000
TOTAL, METHOD OF FINANCING		\$2,500,000	\$2,500,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.0	3.0

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2012
TIME: 1:31:08PM

Agency Code: **784** Agency name: **University of Houston - Downtown**

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 - 0
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 2 Tuition Revenue Bond Retirement Service: 10 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
OBJECTS OF EXPENSE:		
2008 DEBT SERVICE	3,765,175	3,765,175
Total, Objects of Expense	\$3,765,175	\$3,765,175
METHOD OF FINANCING:		
1 General Revenue Fund	3,765,175	3,765,175
Total, Method of Finance	\$3,765,175	\$3,765,175

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Science and Technology Building

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2012
TIME: 1:31:08PM

Agency Code: **784** Agency name: **University of Houston - Downtown**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0
 OBJECTIVE: 5 Exceptional Item Request Service Categories:
 STRATEGY: 1 Exceptional Item Request Service: NA Income: NA Age: NA

CODE DESCRIPTION	Excp 2014	Excp 2015
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	197,400	197,400
2009 OTHER OPERATING EXPENSE	2,302,600	2,302,600
Total, Objects of Expense	\$2,500,000	\$2,500,000

METHOD OF FINANCING:

1 General Revenue Fund	2,500,000	2,500,000
Total, Method of Finance	\$2,500,000	\$2,500,000

FULL-TIME EQUIVALENT POSITIONS (FTE): 3.0 3.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Urban Student Success Initiative

6.A. Historically Underutilized Business Supporting Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/17/2012**
 Time: **1:31:08PM**

Agency Code: **784** Agency: **University of Houston - Downtown**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2010 - 2011 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2010			Total Expenditures FY 2010		HUB Expenditures FY 2011			Total Expenditures FY 2011	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	% Goal	Actual \$	
11.9%	Heavy Construction	11.9 %	0.0%	-11.9%	\$0	\$0	11.9 %	0.0%	-11.9%	\$0	\$1	
26.1%	Building Construction	26.1 %	21.2%	-4.9%	\$33,362	\$157,173	26.1 %	31.7%	5.6%	\$142,071	\$447,792	
57.2%	Special Trade Construction	57.2 %	6.7%	-50.5%	\$103,560	\$1,545,678	57.2 %	13.7%	-43.5%	\$272,924	\$1,991,734	
20.0%	Professional Services	20.0 %	13.1%	-6.9%	\$1,215	\$9,280	20.0 %	0.0%	-20.0%	\$0	\$623	
33.0%	Other Services	33.0 %	7.8%	-25.2%	\$388,404	\$5,008,826	33.0 %	10.7%	-22.3%	\$565,125	\$5,286,701	
12.6%	Commodities	12.6 %	38.9%	26.3%	\$3,308,427	\$8,512,866	12.6 %	37.6%	25.0%	\$2,816,508	\$7,490,724	
	Total Expenditures		25.2%		\$3,834,968	\$15,233,823		24.9%		\$3,796,628	\$15,217,575	

B. Assessment of Fiscal Year 2010 - 2011 Efforts to Meet HUB Procurement Goals

Attainment:

The agency attained one of the five applicable statewide HUB procurement goals in FY10 in the Commodities category, but in FY11 UH attained two procurement goals in the following categories: Building Construction and Commodities.

Applicability:

All of the six categories of eligible expenditures are relevant to a university, although some are more ongoing than others. In any given year the university will have considerable expenditures in both the Commodities and Other Service categories. Expenditures in the Construction and Professional Service categories are generally tied to building projects, which require extraordinary funding and occur intermittently.

Factors Affecting Attainment:

UH-Downtown strives to live up to the spirit of HUB laws by making efforts to engage Black and Hispanic owned businesses. In FY 2010, 58% of HUB volume was with Black and Hispanic owned businesses. In FY 2011, Black and Hispanic owned businesses accounted for almost 47% of the total. A number of large volume businesses (office supplies, computer equipment) have now established third-party arrangements that have enabled state agencies to improve their HUB participation rates.

"Good-Faith" Efforts:

The University made the following good faith efforts to comply with statewide HUB procurement goals as stated by Goals 20.13 TAC 20 Section Chap. 20.11 through 20.28.

*Sponsor for HUB fairs to promote HUBs to university departments and within community.

*Sponsor annual Economic Opportunity Forum.

6.A. Historically Underutilized Business Supporting Schedule
83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/17/2012**
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Agency Code: **784** Agency: **University of Houston - Downtown**

- *Include Purchasing training information regarding the use of HUBs.
- *Exhibit at HUB procurement expos sponsored by the Houston Minority Business Council (HMBC).
- *Attend HUB discussion meetings with other UHS components to keep up with new HUB rules and regulations.
- *Encouraged HUBs to become state certified by mailing letters and meeting with owners to review the application.

University of Houston-Downtown 784
Estimated Funds Outside the Institution's Bill Pattern
2012-13 and 2014-15 Biennia

	2012 - 2013 Biennium				2014 - 2015 Biennium			
	<u>FY 2012</u> <u>Revenue</u>	<u>FY 2013</u> <u>Revenue</u>	<u>Biennium</u> <u>Total</u>	<u>Percent</u> <u>of Total</u>	<u>FY 2014</u> <u>Revenue</u>	<u>FY 2015</u> <u>Revenue</u>	<u>Biennium</u> <u>Total</u>	<u>Percent</u> <u>of Total</u>
APPROPRIATED SOURCES INSIDE THE BILL PATTERN								
State Appropriations (excluding HEGI & State Paid Fringes)	\$ 19,967,882	\$ 19,901,265	\$ 39,869,147		\$ 19,901,265	\$ 19,901,265	\$ 39,802,530	
Research Excellence Funds (URF/TEF)	\$ 52,124	\$ 52,124	\$ 104,248		\$ 52,124	\$ 52,124	\$ 104,248	
Tuition and Fees (net of Discounts and Allowances)	17,744,951	18,052,528	35,797,479		18,052,528	18,052,528	36,105,056	
Endowment and Interest Income	20,000	15,000	35,000		15,000	15,000	30,000	
Sales and Services of Educational Activities (net)	-	-	-		-	-	-	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Other Income	-	-	-		-	-	-	
Total	37,784,957	38,020,917	75,805,874	26.1%	38,020,917	38,020,917	76,041,834	25.6%
APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN								
State Appropriations (HEGI & State Paid Fringes)	\$ 7,157,321	\$ 7,142,881	\$ 14,300,202		\$ 7,142,881	\$ 7,142,881	\$ 14,285,762	
Higher Education Assistance Funds	7,435,238	7,435,238	\$ 14,870,476		7,435,238	7,435,238	\$ 14,870,476	
Available University Fund	-	-	-		-	-	-	
State Grants and Contracts	6,528,700	6,335,587	\$ 12,864,287		6,335,587	6,335,587	\$ 12,671,174	
Total	21,121,259	20,913,706	42,034,965	14.5%	20,913,706	20,913,706	41,827,412	14.1%
NON-APPROPRIATED SOURCES								
Tuition and Fees (net of Discounts and Allowances)	47,200,910	50,180,153	\$ 97,381,063		50,180,153	50,180,153	\$ 100,360,306	
Federal Grants and Contracts	28,951,965	32,598,979	\$ 61,550,944		32,598,979	32,598,979	\$ 65,197,958	
State Grants and Contracts	-	-	-		-	-	-	
Local Government Grants and Contracts	-	-	-		-	-	-	
Private Gifts and Grants	1,279,000	1,232,000	\$ 2,511,000		1,232,000	1,232,000	\$ 2,464,000	
Endowment and Interest Income	1,261,727	931,601	\$ 2,193,328		931,601	931,601	\$ 1,863,202	
Sales and Services of Educational Activities (net)	1,716,100	1,709,500	\$ 3,425,600		1,709,500	1,709,500	\$ 3,419,000	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Professional Fees (net)	-	-	-		-	-	-	
Auxiliary Enterprises (net)	2,409,758	2,873,108	\$ 5,282,866		2,873,108	2,873,108	\$ 5,746,216	
Other Income	-	-	-		-	-	-	
Total	82,819,460	89,525,341	172,344,801	59.4%	89,525,341	89,525,341	179,050,682	60.3%
TOTAL SOURCES	\$ 141,725,676	\$ 148,459,964	\$ 290,185,640	100.0%	\$ 148,459,964	\$ 148,459,964	\$ 296,919,928	100.0%

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2012

Time: 1:31:09PM

Agency code: 784 Agency name: University of Houston - Downtown

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	

1 Community Development

Category: Programs - Service Reductions (Other)

Item Comment: The Community Development special item is funded entirely with general revenue funds and will be subject to the 10 percent reduction. These funds support community-based initiatives. The impact will be fewer service learning opportunities for UHD students.

Strategy: 3-3-1 Community Development Project

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$39,753	\$39,753	\$79,506
General Revenue Funds Total	\$0	\$0	\$0	\$39,753	\$39,753	\$79,506
Item Total	\$0	\$0	\$0	\$39,753	\$39,753	\$79,506

FTE Reductions (From FY 2014 and FY 2015 Base Request)

2 Institutional Enhancement

Category: Programs - Service Reductions (Other)

Item Comment: Institutional Enhancement' funds are essentially general support dollars and this funding has become critical to maintaining core operations.

Coming on the heels of a legislative session where the University sustained a \$9 million biennial funding reduction this cut, while not devastating, would certainly be unwelcomed. UHD already operates with the second-lowest GR-per-FTE-Student funding in the state, while still managing to maintain tuition/fee rates that are among the very lowest in the state. If forced to absorb this reduction UHD will likely look to cut positions in already under-staffed areas, making every effort to protect student service levels in the process.

Strategy: 3-4-1 Institutional Enhancement

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$193,943	\$193,943	\$387,886
General Revenue Funds Total	\$0	\$0	\$0	\$193,943	\$193,943	\$387,886
Item Total	\$0	\$0	\$0	\$193,943	\$193,943	\$387,886

FTE Reductions (From FY 2014 and FY 2015 Base Request)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2012

Time: 1:31:09PM

Agency code: 784 Agency name: University of Houston - Downtown

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	
AGENCY TOTALS							
General Revenue Total				\$233,696	\$233,696	\$467,392	\$467,392
Agency Grand Total	\$0	\$0	\$0	\$233,696	\$233,696	\$467,392	
Difference, Options Total Less Target							
Agency FTE Reductions (From FY 2014 and FY 2015 Base Request)							

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Automated Budget and Evaluation System of Texas (ABEST)

784 University of Houston - Downtown					
	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Gross Tuition					
Gross Resident Tuition	14,627,503	14,864,568	14,771,766	15,067,201	15,368,545
Gross Non-Resident Tuition	2,818,044	3,213,312	4,005,762	4,005,762	4,005,762
Gross Tuition	17,445,547	18,077,880	18,777,528	19,072,963	19,374,307
Less: Remissions and Exemptions	(599,213)	(706,318)	(820,000)	(836,400)	(853,128)
Less: Refunds	0	0	0	0	0
Less: Installment Payment Forfeits	0	0	0	0	0
Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008)	(71,469)	(75,554)	(79,638)	(81,231)	(82,855)
Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012)	0	0	0	0	0
Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595)	(250,361)	(305,754)	(260,000)	(265,200)	(270,504)
Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)	(2,000)	0	0	0	0
Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013)	0	0	0	0	0
Less: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	(385,671)	(373,831)	(390,000)	(397,800)	(405,756)
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
Subtotal	16,136,833	16,616,423	17,227,890	17,492,332	17,762,064
Less: Transfer of Tuition to Retirement of Indebtedness: 1) Skiles Act	0	0	0	0	0
Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56c) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d)	(2,023,725)	(1,981,372)	(2,159,823)	(2,203,019)	(2,247,080)
Less: Transfer of Funds (2%) for Emergency Loans (Medical Schools)	0	0	0	0	0
Less: Transfer of Funds for Repayment of Student Loans of Physicians (Tx. Educ. Code Ann. Sec. 61.539)	0	0	0	0	0
Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095)	0	0	0	0	0

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784 University of Houston - Downtown					
	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Less: Other Authorized Deduction					
Net Tuition	14,113,108	14,635,051	15,068,067	15,289,313	15,514,984
Student Teaching Fees	0	0	0	0	0
Special Course Fees	0	0	0	0	0
Laboratory Fees	107,000	120,000	95,000	95,000	95,000
Subtotal, Tuition and Fees	14,220,108	14,755,051	15,163,067	15,384,313	15,609,984
OTHER INCOME					
Interest on General Funds:					
Local Funds in State Treasury	11,641	7,439	15,000	15,000	15,000
Funds in Local Depositories, e.g., local amounts	0	0	0	0	0
Other Income (Itemize)					
Adjustment to Expended	(1,384,456)	0	0	0	0
Subtotal, Other Income	(1,372,815)	7,439	15,000	15,000	15,000
Subtotal, Other Educational and General Income	12,847,293	14,762,490	15,178,067	15,399,313	15,624,984
Less: O.A.S.I. Applicable to Educational and General	(751,774)	(845,505)	(798,000)	(813,960)	(830,239)
Local Funds Payrolls					
Less: Teachers Retirement System and ORP	(660,725)	(725,703)	(754,300)	(769,386)	(784,774)
Proportionality for Educational and General Funds					
Less: Staff Group Insurance Premiums	(1,337,126)	(1,318,563)	(1,300,000)	(1,404,000)	(1,460,160)
Total, Other Educational and General Income	10,097,668	11,872,719	12,325,767	12,411,967	12,549,811
Reconciliation to Summary of Request for FY 2011-2013					
Plus: Transfer of Tuition for Retirement of	0	0	0	0	0
Indebtedness - Skiles Act					
Plus: Transfer of Funds for Texas Public Education	2,023,725	1,981,372	2,159,823	2,203,019	2,247,079
Grants Program and Emergency Loans					
Plus: Transfer of Funds 2% for Emergency Loans	0	0	0	0	0
(Medical Schools)					
Plus: Transfer of Funds for Cancellation of Student	0	0	0	0	0
Loans of Physicians					
Plus: Organized Activities	0	0	0	0	0

Schedule 1A: Other Educational and General Income

10/17/2012 1:31:10PM

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784 University of Houston - Downtown					
	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Plus: Staff Group Insurance Premiums	1,337,126	1,318,563	1,300,000	1,404,000	1,460,160
Plus: Board-authorized Tuition Income	71,469	75,554	79,638	81,231	82,855
Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100	0	0	0	0	0
Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595)	250,361	305,754	260,000	265,200	270,504
Plus: Tuition rebates for certain undergraduates (TX Educ.Code Ann. Sec. 54.0065)	0	0	0	0	0
Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	385,671	373,831	390,000	397,800	405,756
Less: Tuition Waived for Students 55 Years or Older	0	0	0	0	0
Less: Tuition Waived for Texas Grant Recipients	0	0	0	0	0
Total, Other Educational and General Income Reported on Summary of Request	14,166,020	15,927,793	16,515,228	16,763,217	17,016,165

Schedule 2: Selected Educational, General and Other Funds

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	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
General Revenue Transfers					
Transfer from Coordinating Board for Advanced Research Program	0	0	0	0	0
Transfer from Coordinating Board for Texas College Work Study Program (2011, 2012, 2013)	61,743	61,492	60,587	0	0
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program	0	0	0	0	0
Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)	0	0	0	0	0
Less: Transfer to Other Institutions	0	0	0	0	0
Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2011, 2012, 2013)	0	0	0	0	0
Other (Itemize)					
Transfer from Coordinating Board for Texas College Work Study First Year Experience	0	50,000	0	0	0
Other: Fifth Year Accounting Scholarship	8,868	10,000	9,434	0	0
Texas Grants	6,667,252	6,741,870	6,275,000	0	0
B-on-Time Program	57,165	44,750	50,957	0	0
Less: Transfer to System Administration	0	0	0	0	0
Subtotal, General Revenue Transfers	6,795,028	6,908,112	6,395,978	0	0
General Revenue HEF for Operating Expenses	7,435,238	7,435,238	7,435,238	7,435,238	7,435,238
Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)	0	0	0	0	0
Other Additions (Itemize)					
Increase Capital Projects - Educational and General Funds	0	0	0	0	0
Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2011, 2012, 2013)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	0	0	0	0	0
Transfer from Coordinating Board for Incentive Funding	0	0	0	0	0
Other (Itemize)					
Gross Designated Tuition (Sec. 54.0513)	27,764,305	30,408,701	32,716,737	33,371,071	34,038,493
Indirect Cost Recovery (Sec. 145.001(d))	410,231	353,777	332,855	339,512	346,302

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	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Correctional Managed Care Contracts	0	0	0	0	0

Schedule 3A: Staff Group Insurance Data Elements (ERS)
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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
GR & GR-D Percentages					
GR %	62.00%				
GR-D %	38.00%				
Total Percentage	100.00%				
FULL TIME ACTIVES					
1a Employee Only	268	166	102	268	177
2a Employee and Children	59	37	22	59	41
3a Employee and Spouse	52	32	20	52	30
4a Employee and Family	60	37	23	60	36
5a Eligible, Opt Out	16	10	6	16	23
6a Eligible, Not Enrolled	6	4	2	6	2
Total for This Section	461	286	175	461	309
PART TIME ACTIVES					
1b Employee Only	8	5	3	8	24
2b Employee and Children	0	0	0	0	0
3b Employee and Spouse	2	1	1	2	1
4b Employee and Family	2	1	1	2	2
5b Eligible, Opt Out	1	1	0	1	57
6b Eligible, Not Enrolled	1	1	0	1	0
Total for This Section	14	9	5	14	84
Total Active Enrollment	475	295	180	475	393

784 University of Houston - Downtown

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	268	166	102	268	177
2e Employee and Children	59	37	22	59	41
3e Employee and Spouse	52	32	20	52	30
4e Employee and Family	60	37	23	60	36
5e Eligible, Opt Out	16	10	6	16	23
6e Eligible, Not Enrolled	6	4	2	6	2
Total for This Section	461	286	175	461	309

784 University of Houston - Downtown

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	276	171	105	276	201
2f Employee and Children	59	37	22	59	41
3f Employee and Spouse	54	33	21	54	31
4f Employee and Family	62	38	24	62	38
5f Eligible, Opt Out	17	11	6	17	80
6f Eligible, Not Enrolled	7	5	2	7	2
Total for This Section	475	295	180	475	393

Schedule 4: Computation of OASI
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Agency 784 University of Houston - Downtown

Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2	2011		2012		2013		2014		2015	
	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>
General Revenue (% to Total)	68.01	\$1,598,254	62.00	\$1,379,509	62.00	\$1,302,000	62.00	\$1,328,040	62.00	\$1,354,601
Other Educational and General Funds (% to Total)	31.99	\$751,774	38.00	\$845,505	38.00	\$798,000	38.00	\$813,960	38.00	\$830,239
Health-Related Institutions Patient Income (% to Total)	0.00	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00	\$0
Grand Total, OASI (100%)	100.00	\$2,350,028	100.00	\$2,225,014	100.00	\$2,100,000	100.00	\$2,142,000	100.00	\$2,184,840

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

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Description	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Proportionality Amounts					
Gross Educational and General Payroll - Subject To TRS Retirement	15,828,582	16,117,416	16,406,250	16,406,250	16,406,250
Employer Contribution to TRS Retirement Programs	1,051,651	1,050,651	1,050,000	1,071,000	1,092,420
Gross Educational and General Payroll - Subject To ORP Retirement	15,840,016	15,711,675	15,583,333	15,583,333	15,583,333
Employer Contribution to ORP Retirement Programs	1,013,761	859,094	935,000	953,700	972,774
Proportionality Percentage					
General Revenue	68.01 %	62.00 %	62.00 %	62.00 %	62.00 %
Other Educational and General Income	31.99 %	38.00 %	38.00 %	38.00 %	38.00 %
Health-related Institutions Patient Income	0.00 %	0.00 %	0.00 %	0.00 %	0.00 %
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	660,725	725,703	754,300	769,386	784,774
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Gross Payroll Subject to Differential - Optional Retirement Program	6,490,879	6,542,198	6,593,407	6,593,407	6,593,407
Total Differential	59,067	85,703	86,374	86,374	86,374

Schedule 6: Capital Funding

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Activity	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
I. Balances as of Beginning of Fiscal Year					
A. PUF Bond Proceeds	0	0	0	0	0
B. HEF Bond Proceeds	0	0	0	0	0
C. HEF Annual Allocations	2,020,553	1,399,474	1,049,608	574,742	349,866
D. TR Bond Proceeds	0	0	0	0	0
E. Other Debt Proceeds (e.g. Patient Income)	0	0	0	0	0
II. Additions					
A. PUF Bond Proceeds Allocation	0	0	0	0	0
B. HEF General Revenue Appropriation	7,435,238	7,435,238	7,435,238	7,435,238	7,435,238
C. HEF Bond Proceeds	0	0	0	0	0
D. TR Bond Proceeds	6,238,316	0	0	0	0
E. Investment Income on PUF Bond Proceeds	0	0	0	0	0
F. Investment Income on HEF Bond Proceeds	0	0	0	0	0
G. Investment Income on TR Bond Proceeds	0	0	0	0	0
H. Other Debt Proceeds (e.g. Patient Income)	0	0	0	0	0
I. Other (Itemize)					
HB4, 82nd Leg, Regular Session, SEC 1(a) GR Reduction, TRB	(42,349)	0	0	0	0
TR Bond Proceeds					
Lapsed, TRB	(6)	0	0	0	0
III. Total Funds Available - PUF, HEF, and TRB	\$15,651,752	\$8,834,712	\$8,484,846	\$8,009,980	\$7,785,104
IV. Less: Deductions					
A. Expenditures (Itemize)					
LIBRARY	2,474,908	2,315,000	2,600,000	2,730,000	2,730,000
RESEARCH	193,234	92,000	182,000	182,000	182,000
DEPARTMENTAL SUPPORT	268,524	1,155,720	458,236	609,644	734,634
INFORMATION TECHNOLOGY	2,942,004	2,866,384	3,180,000	2,648,602	2,648,602
CAMPUS SECURITY	102,342	215,000	150,000	150,000	150,000
RENOVATION-MR&R PROJECTS	2,075,304	916,000	896,710	896,710	896,710
STUDENT SUCCESS	0	225,000	443,158	443,158	443,158
B. Annual Debt Service on PUF Bonds	0	0	0	0	0
C.1. Annual Debt Service on HEF Bonds - RFS Commercial Paper	0	0	0	0	0
C.2. Annual Debt Service on HEF Bonds - RFS Bonds, Series 2001	0	0	0	0	0
D. Annual Debt Service on TR Bonds	6,195,960	0	0	0	0
E. Annual Debt Service on Other Bonds (e.g. Patient Income)	0	0	0	0	0
F. Other (Itemize)					
Total, Deductions	\$14,252,276	\$7,785,104	\$7,910,104	\$7,660,114	\$7,785,104

Schedule 6: Capital Funding

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Activity	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
V. Balances as of End of Fiscal Year					
A.PUF Bond Proceeds	0	0	0	0	0
B.HEF Bond Proceeds	0	0	0	0	0
C.HEF Annual Allocations	1,399,475	1,049,608	574,742	349,866	0
D.TR Bond Proceeds	42,350	0	0	0	0
E.Other Revenue (e.g. Patient Income)	(42,349)	0	0	0	0
	<u>\$1,399,476</u>	<u>\$1,049,608</u>	<u>\$574,742</u>	<u>\$349,866</u>	<u>\$0</u>

Schedule 7: Personnel
 83rd Regular Session, Agency Submission, Version 1
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Date: 10/17/2012
 Time: 1:31:12PM

Agency code: **784** Agency name: **University of Houston - Downtown**

	Actual 2011	Actual 2012	Budgeted 2013	Estimated 2014	Estimated 2015
Part A.					
FTE Postions					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	256.2	249.2	240.0	240.0	240.0
Educational and General Funds Non-Faculty Employees	221.9	216.1	222.9	222.9	222.9
Subtotal, Directly Appropriated Funds	478.1	465.3	462.9	462.9	462.9
Other Appropriated Funds					
Incentive Funding - Transfer from THECB	13.1	0.0	0.0	0.0	0.0
Subtotal, Other Appropriated Funds	13.1	0.0	0.0	0.0	0.0
Subtotal, All Appropriated	491.2	465.3	462.9	462.9	462.9
Non Appropriated Funds Employees	539.4	529.0	672.7	672.7	672.7
Subtotal, Other Funds & Non-Appropriated	539.4	529.0	672.7	672.7	672.7
GRAND TOTAL	1,030.6	994.3	1,135.6	1,135.6	1,135.6

Schedule 7: Personnel
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2012
 Time: 1:31:12PM

Agency code: **784** Agency name: **University of Houston - Downtown**

	Actual 2011	Actual 2012	Budgeted 2013	Estimated 2014	Estimated 2015
Part B.					
Personnel Headcount					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	246.0	237.0	228.0	228.0	228.0
Educational and General Funds Non-Faculty Employees	219.0	205.0	212.0	212.0	212.0
Subtotal, Directly Appropriated Funds	465.0	442.0	440.0	440.0	440.0
Other Appropriated Funds					
Incentive Funding - Transfer from THECB	14.0	0.0	0.0	0.0	0.0
Subtotal, Other Appropriated Funds	14.0	0.0	0.0	0.0	0.0
Subtotal, All Appropriated	479.0	442.0	440.0	440.0	440.0
Non Appropriated Funds Employees	817.0	839.0	1,023.0	1,023.0	1,023.0
Subtotal, Non-Appropriated	817.0	839.0	1,023.0	1,023.0	1,023.0
GRAND TOTAL	1,296.0	1,281.0	1,463.0	1,463.0	1,463.0

Schedule 7: Personnel
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2012
 Time: 1:31:12PM

Agency code: **784** Agency name: **University of Houston - Downtown**

	Actual 2011	Actual 2012	Budgeted 2013	Estimated 2014	Estimated 2015
PART C.					
Salaries					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	\$20,357,593	\$20,195,547	\$20,397,291	\$20,397,291	\$20,397,291
Educational and General Funds Non-Faculty Employees	\$11,973,572	\$12,117,329	\$12,123,972	\$12,123,972	\$12,123,972
Subtotal, Directly Appropriated Funds	\$32,331,165	\$32,312,876	\$32,521,263	\$32,521,263	\$32,521,263
Other Appropriated Funds					
Incentive Funding - Transfer from THECB	\$1,023,600	\$0	\$0	\$0	\$0
Subtotal, Other Appropriated Funds	\$1,023,600	\$0	\$0	\$0	\$0
Subtotal, All Appropriated	\$33,354,765	\$32,312,876	\$32,521,263	\$32,521,263	\$32,521,263
Non Appropriated Funds Employees	\$24,917,488	\$25,259,054	\$27,531,599	\$27,531,599	\$27,531,599
Subtotal, Non-Appropriated	\$24,917,488	\$25,259,054	\$27,531,599	\$27,531,599	\$27,531,599
GRAND TOTAL	\$58,272,253	\$57,571,930	\$60,052,862	\$60,052,862	\$60,052,862

Agency 784 University of Houston - Downtown

Project Priority:	Project Code:	Tuition Revenue Bond Request	Total Project Cost	Cost Per Total Gross Square Feet
1	1	\$ 41,429,000	\$ 51,429,000	\$ 345
Name of Proposed Facility:		Project Type:		
Science and Technology Building		New Construction		
Location of Facility:		Type of Facility:		
Houston, Texas		New Building		
Project Start Date:		Project Completion Date:		
09/01/2013		09/01/2015		
Gross Square Feet:	Net Assignable Square Feet in Project			
120,000	120,000			

Project Description

UHD is submitting a single TRB request to the 83rd Legislature for consideration. If approved, this TRB request will enable construction of special use space to expand academic and research programs, which will drive student recruitment, retention and success.

The University of Houston-Downtown (UHD) requests special line item authority to issue up to \$41,429,000 in tuition revenue bonds to fund the construction and equip a state-of-the-art 120,000 sq. ft. Science & Technology building. The new building will serve as the home of the College of Sciences and Technology, one of four degree-granting colleges at the university and home to all STEM academic programs. The funding requested would provide for the projected debt service costs associated with the bond issue described.

While the principal and interest debt service for new tuition revenue bonds is being requested here, any amounts appropriated should be to the UH System Administration.

Schedule 8B: Tuition Revenue Bond Issuance History

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Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2012	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
1993	\$22,400,000	Apr 18 1995	\$22,400,000			
		<i>Subtotal</i>	\$22,400,000	\$0		
1997	\$7,500,000	Feb 10 1999	\$7,500,000			
		<i>Subtotal</i>	\$7,500,000	\$0		
2001	\$18,232,500	Oct 9 2002	\$18,232,000			
		<i>Subtotal</i>	\$18,232,000	\$500		
2006	\$31,626,000	Feb 15 2006	\$31,626,000			
		<i>Subtotal</i>	\$31,626,000	\$0		

784 University of Houston - Downtown

Special Item: 1 **Community Development**

(1) Year Special Item: 2000

(2) Mission of Special Item:

These funds serve as seed money for community-based efforts to revitalize two separate economically depressed north side neighborhoods - the predominately African-American Acres Homes subdivision and the largely Hispanic area bounded by downtown, I-45N, 610 Loop North and Highway 59N. Projects in both areas have their own boards that oversee a variety of economic development and community enhancement efforts. These boards, which are required to provide periodic status reports to the university leadership, operate with a high degree of autonomy.

(3) (a) Major Accomplishments to Date:

Ongoing support for important community organizations, provide numerous high-quality service learning opportunities for UHD students.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Ongoing support for important community organizations, provide numerous high-quality service learning opportunities for UHD students.

(4) Funding Source Prior to Receiving Special Item Funding:

Unfunded

(5) Formula Funding:

N/A

(6) Non-general Revenue Sources of Funding:

None

(7) Consequences of Not Funding:

An opportunity to lift these economically depressed neighborhoods would be lost, as would the opportunity to provide community development service learning opportunities to UHD students.

784 University of Houston - Downtown

Special Item: 2 **Institutional Enhancement**

(1) Year Special Item: 2000

(2) Mission of Special Item:

This item was first created by the 76th Legislature and has been adjusted numerous times over the years. While the basis for this funding ties back to discontinued special items that were rolled up into a single line, the fact is that Institutional Enhancement funds have become de facto operating dollars for state universities, comparable in terms of use to what is received through the Operations Support formula.

(3) (a) Major Accomplishments to Date:

Institutional Enhancement funds support general operations.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Institutional Enhancement funds support general operations.

(4) Funding Source Prior to Receiving Special Item Funding:

The Academic Support Lab and CEC were separately funded special items prior to the establishment of Institutional Enhancement. The other items were either unfunded or underfunded.

(5) Formula Funding:

N/A

(6) Non-general Revenue Sources of Funding:

None

(7) Consequences of Not Funding:

As with most institutions of higher ed in Texas, UHD uses Institutional Enhancement funds to support many of its core operations. Losing this funding would have the effect of an across-the-board decrease in state support.

784 University of Houston - Downtown

Special Item: 3 **Science and Technology Building Tuition Revenue Bond Retirement**

(1) Year Special Item: 0

(2) Mission of Special Item:

UHD is submitting a single TRB request to the 83rd Legislature for consideration. In the fall of 2011, UHD operated with an overall space deficit of over 439,966 sq. ft. (assignable), mostly in the areas of Teaching and Research. As the institution matures, it is requiring more ‘special-use’ as opposed to ‘general use’ space. If approved, this TRB request will enable construction of special use space to expand academic and research programs, which will drive student recruitment, retention and success.

The University of Houston-Downtown (UHD) requests special line item authority to issue up to \$41,429,000 in tuition revenue bonds to fund the construction and equip a state-of-the-art 120,000 sq. ft. Science & Technology building. The new building will serve as the home of the College of Sciences and Technology, one of four degree-granting colleges at the university. The college is home to all STEM (Science, Technology, Engineering, and Mathematics) academic programs and provides opportunities for students from under-represented groups to work for and attain degree goals in STEM fields. The funding requested would provide for the projected debt service costs associated with the bond issue described.

While the principal and interest debt service for new tuition revenue bonds is being requested here, any amounts appropriated should be to the UH System Administration.

(3) (a) Major Accomplishments to Date:

(3) (b) Major Accomplishments Expected During the Next 2 Years:

(4) Funding Source Prior to Receiving Special Item Funding:

Unfunded

(5) Formula Funding:

N/A

(6) Non-general Revenue Sources of Funding:

None

(7) Consequences of Not Funding:

Schedule 9: Special Item Information

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As the university's STEM programs have grown in size and recognition, the deficiencies in existing science and technology classroom and lab space have become an increasing challenge. At present, science and technology labs are located in the original UHD structure, the One Main Building, which was never designed for this purpose.

784 University of Houston - Downtown

Special Item: 4 **Urban Student Success Initiative**

(1) Year Special Item: 0

(2) Mission of Special Item:

UHD is submitting one Exceptional Item request to the 83rd Legislature for consideration. The Exceptional Item Request is designed to provide Hispanic and African-American students greater access to higher education and the support mechanisms required for their success.

UHD requests exceptional item funding to improve student retention and graduation rates. UHD serves the downtown and urban regions of Houston. Students entering from Houston area high schools have high remediation needs, are predominantly first generation to college, and are from low and moderate income households. Two-thirds of UHD students are Pell Grant eligible, 39% of all UHD students are Hispanic and 29% are African American. Roughly two-thirds are first generation college students. These students require college preparation courses, remediation, supplemental instruction, tutoring, and mentoring to increase success rates. In 1989, the 71st Texas Legislature increased appropriations for nine universities with high minority populations to allow these institutions to develop programs to increase participation and student success of Hispanic students in the border region. UHD, which serves an area with a large minority population and has characteristics that are virtually identical to the institutions included in the South Texas/Border Initiative, seeks funding that corresponds to the support provided by the South Texas Border Initiative.

(3) (a) Major Accomplishments to Date:

(3) (b) Major Accomplishments Expected During the Next 2 Years:

(4) Funding Source Prior to Receiving Special Item Funding:

Unfunded

(5) Formula Funding:

N/A

(6) Non-general Revenue Sources of Funding:

None

(7) Consequences of Not Funding:

Exceptional item funding would allow the university to scale up the work that is being done in this area. The requested funds would be used increase supplemental instruction, provide for the purchase of critical software, train faculty and staff, and support individual and group instruction programs associated with the HIE Program. Twenty percent of the funds would be used for student scholarships to ensure that low-income students can persist and graduate.

Schedule 10A: Reconciliation of Formula Strategies to NACUBO Functions of Cost
81st Regular Session, Agency Submission, Version 1

Agency Code: 784

Agency Name: University of Houston - Downtown

		Exp 2011	Est 2012	Bud 2013
SUMMARY OF REQUEST FOR FY 2011-2013:				
1	A.1.1 Operations Support	\$ 30,557,060	\$ 30,636,583	\$ 30,832,143
2	B.1.1 E&G Space Support	\$ 2,051,530	\$ 1,632,621	\$ 1,636,996
3	Total, Formula Expenditures	\$ 32,608,590	\$ 32,269,204	\$ 32,469,139
RECONCILIATION TO NACUBO FUNCTIONS OF COST				
4	Instruction	\$ 21,325,358	\$ 21,501,171	\$ 21,640,130
	Research	\$ 56,127	\$ 58,085	\$ 58,444
	Academic Support	\$ 3,523,813	\$ 3,485,074	\$ 3,506,660
	Student Services	\$ 1,254,955	\$ 1,242,364	\$ 1,250,069
	Institutional Support	\$ 4,396,807	\$ 4,349,889	\$ 4,376,840
5	Subtotal	\$ 30,557,060	\$ 30,636,583	\$ 30,832,143
6	Operation and Maintenance of Plant	\$ 1,716,037	\$ 1,632,621	\$ 1,636,996
	Utilities	\$ 335,493	\$ -	\$ -
7	Subtotal	\$ 2,051,530	\$ 1,632,621	\$ 1,636,996
8	Total, Formula Expenditures by NACUBO Functions of Cost	\$ 32,608,590	\$ 32,269,204	\$ 32,469,139
9	check = 0	0	0	0

Schedule 10B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost
81st Regular Session, Agency Submission, Version 1

Agency Code: **784**

Agency Name: **University of Houston - Downtown**

	Exp 2011		Est 2012		Bud 2013
SUMMARY OF REQUEST FOR FY 2011-2013:					
1 A.1.1 Operations Support	\$ 30,557,060		\$ 30,636,583		\$ 30,832,143
Objects of Expense:					
a) 1001 Salaries & Wages	\$ 9,705,307		\$ 9,949,047		\$ 9,985,534
1002 Other Personnel Costs	\$ 477,740		\$ 483,537		\$ 449,318
1005 Faculty Salaries	\$ 20,357,593		\$ 20,195,547		\$ 20,397,291
2001 Professional Fees & Services	\$ 805		\$ 6,263		\$ -
2009 Other Operating Expense	\$ 10,897		\$ 2,189		\$ -
4000 Grants	\$ 4,718		\$ -		\$ -
<i>Subtotal, Objects of Expense</i>	\$ 30,557,060		\$ 30,636,583		\$ 30,832,143
check = 0	\$ -		\$ -		\$ -
4 B.1.1 E&G Space Support	\$ 2,051,530		\$ 1,632,621		\$ 1,636,996
Objects of Expense:					
c) 1001 Salaries & Wages	\$ 1,695,114		\$ 1,632,621		\$ 1,636,996
1002 Other Personnel Costs	\$ 20,923		\$ -		\$ -
2004 Utilities	\$ 335,493		\$ -		\$ -
<i>Subtotal, Objects of Expense</i>	\$ 2,051,530		\$ 1,632,621		\$ 1,636,996
check = 0	\$ -		\$ -		\$ -
RECONCILIATION TO NACUBO FUNCTIONS OF COST					
6 Instruction	\$ 21,325,358		\$ 21,501,171		\$ 21,640,130
Objects of Expense:					
d) 1001 Salaries & Wages	\$ 867,880		\$ 875,098		\$ 880,753
1002 Other Personnel Costs	\$ 107,706		\$ 109,656		\$ 110,365
1005 Faculty Salaries	\$ 20,348,641		\$ 20,516,417		\$ 20,649,012
2009 Other Operating Expense	\$ 1,131		\$ -		\$ -

Schedule 10B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost
81st Regular Session, Agency Submission, Version 1

<i>Subtotal</i>		\$	21,325,358	\$	21,501,171	\$	21,640,130
	check = 0	\$	-	\$	-	\$	-

Research	\$	56,127	\$	58,085	\$	58,444
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Objects of Expense:

e) 1001 Salaries & Wages	\$	51,999	\$	53,816	\$	54,148
1002 Other Personnel Costs	\$	4,128	\$	4,269	\$	4,296

<i>Subtotal</i>		\$	56,127	\$	58,085	\$	58,444
	check = 0	\$	-	\$	-	\$	-

Academic Support	\$	3,523,813	\$	3,485,074	\$	3,506,660
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Objects of Expense:

f) 1001 Salaries & Wages	\$	3,398,999	\$	3,361,702	\$	3,382,524
1002 Other Personnel Costs	\$	115,862	\$	114,659	\$	115,369
1005 Faculty Salaries	\$	8,952	\$	8,713	\$	8,767

<i>Subtotal</i>		\$	3,523,813	\$	3,485,074	\$	3,506,660
	check = 0	\$	-	\$	-	\$	-

Student Services	\$	1,254,955	\$	1,242,364	\$	1,250,069
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Objects of Expense:

g) 1001 Salaries & Wages	\$	1,226,557	\$	1,214,287	\$	1,221,817
1002 Other Personnel Costs	\$	27,593	\$	27,332	\$	27,502
2001 Professional Fees & Services	\$	805	\$	745	\$	750

<i>Subtotal</i>		\$	1,254,955	\$	1,242,364	\$	1,250,069
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Schedule 10B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost
81st Regular Session, Agency Submission, Version 1

check = 0 \$ - \$ - \$ -

Institutional Support	\$ 4,396,807	\$ 4,349,889	\$ 4,376,840
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Objects of Expense:

h) 1001 Salaries & Wages	\$ 4,187,785	\$ 4,143,097	\$ 4,168,767
1002 Other Personnel Costs	\$ 130,591	\$ 129,197	\$ 129,998
2009 Other Operating Expenses	\$ 78,431	\$ 77,595	\$ 78,075

<i>Subtotal</i>	\$ 4,396,807	\$ 4,349,889	\$ 4,376,840
check = 0	\$ -	\$ -	\$ -

8 Operation and Maintenance of Plant	\$ 1,716,037	\$ 1,632,621	\$ 1,636,996
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Objects of Expense:

i) 1001 Salaries & Wages	\$ 1,695,114	\$ 1,632,621	\$ 1,636,996
1002 Other Personnel Costs	\$ 20,923	\$ -	\$ -

<i>Subtotal, Objects of Expense</i>	\$ 1,716,037	\$ 1,632,621	\$ 1,636,996
check = 0	\$ -	\$ -	\$ -

Utilities	\$ 335,493	\$ -	\$ -
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Objects of Expense:

j) 2004 Utilities	\$ 335,493	\$ -	\$ -
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<i>Subtotal, Objects of Expense</i>	\$ 335,493	\$ -	\$ -
check = 0	\$ -	\$ -	\$ -

Schedule 11: Educational, General and Other Fund Balances

83rd Regular Session, Agency Submission

Agency Code: 784

Agency Name University of Houston - Downtown

	Actual 2011	Actual 2012	Budgeted 2013	Estimated 2014	Estimated 2015
Balances as of Beginning of Fiscal Year					
Encumbered and Obligated	\$ 1,442,602	\$ 1,642,271	\$ 1,442,000	\$ 1,442,000	\$ 1,442,000
Unencumbered and Unobligated	\$ 534,357	\$ 303,242	\$ 150,000	\$ 150,000	\$ 150,000
Capital Projects - Legislative Appropriations					
Capital Projects - Other Educational and General Funds					

Schedules 11: Educational, General and Other Fund Balances and 12: Current and Local Fund (General) Balances were included only in the legislative appropriations bound paper copies submitted to the Governor's Office as requested by the Director of Budget, Planning and Policy, Office of the Governor.

Schedule 12: Current and Local Fund (General) Balances

83rd Regular Session, Agency Submission

Agency Code: 784

Agency Name University of Houston - Downtown

	Actual 2011	Actual 2012	Budgeted 2013	Estimated 2014	Estimated 2015
Balances as of Current Fund in State Treasury					
Encumbered and Obligated	\$ 150,577	\$ 665,854	\$ 450,000	\$ 450,000	\$ 450,000
Unencumbered and Unobligated	\$ 303,235	\$ 151,000	\$ 150,000	\$ 150,000	\$ 150,000
Interest Earned in State Treasury	\$ 11,641	\$ 7,439	\$ 15,000	\$ 15,000	\$ 15,000

Balance of Educational and General Funds in Local Depositories

 Encumbered and Obligated

 Unencumbered and Unobligated

Interest Earned in Local Depositories

Schedules 11: Educational, General and Other Fund Balances and 12: Current and Local Fund (General) Balances were included only in the legislative appropriations bound paper copies submitted to the Governor's Office as requested by the Director of Budget, Planning and Policy, Office of the Governor.